



The Senate of York University

Notice of Meeting

to be held at 3:00 pm. on Thursday, October 27, 2011
in the Senate Chamber, N940 Ross Building.

AGENDA

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6.5 Academic Policy, Planning and Research (A. Macpherson).....23

Note: The Provost and the Vice-President Finance and Administration will report to Senate under the auspices of APPRC.

7. Other Business

H. Lewis, Secretary

Consent Agenda

- 1. Establishment, Field in East Asian History, Graduate Program in History, Graduate Studies (p.5 - ASCP Report)
2. Change of Mandate, Canadian Centre for German and European Studies (p.23 - APPRC Report)



The Senate of York University

Minutes

of the meeting held at 3:00 pm on Thursday, September 22, 2011
in the Senate Chamber, Ross Building.

S. Dimock, <i>Chair</i>	P. Giordan	C. Mallette	B. Sellers-Young
M. Adriaen	L. Gilbert	J. Magee	M. Shoukri
J. Amanatides	S. Grace	C. Mallette	P. Singh
A. Asif	E. Gutterman	N. Mandell	B. Singer
C. Archer	D. Hastie	A. Mazurkov	M. Singer
E. Asselstine	C. Heron	K. McRoberts	D. Skinner
A. Belcastro	C. Hibbs	I. Mgebeji	J. Sloan
R. Ber	A. Hilliker	G. Mianda	B. Smith
G. Bérubé	E. Honarparvar	K. Michasiw	S. Snow
M. Biehl	R. Hornsey	B. Morgan	B. Spotton Visano
G. Brewer	J. Huang	A. Niapaul	A. Tarc
D. Callison	C. Hudak	N. Nariani	R. Toews
I. Coe	A. Hutchinson	P. Ng	G. Tourlakis
D. Cohn	C. Innes	S. Pagiatakis	W. van Wijngaarden
P. Cumming	R. Irving	J. Pichini	A. Vehter
S. Davidson	J. Johnson	A. Pitt	K. White
M. Deamude	A. Karim	S. Pragg	P. Wilkinson
A. Dixit	A. Kim	B. Rahder	P. Wilson
N. Dlamini	T. Knight	A. Redding	C. Wooten
S. Drummond	J. Kowal	A. Ricci	J. Yeomans
J. Duklas	M. Larochelle	M. Rioux	A. Zalik
C. Ehrlich	S. Lewis	J. Rudolph	
J. Elder	H. Lewis, <i>Secretary</i>	P. Ryan	
L. Farley	C. Lipsig-Mumme	B. Ryder	
M. Figueredo	M. Lockshin	L. Sanders	
D. Freake	S. Macdonald	S. Schrauwers	
R. Furguele	A. Macpherson	J. Schwarz	

1. Chair's Remarks

The Chair's referenced the following in her remarks:

- a Senate orientation held prior to the business meeting
- major items on the Senate agenda for the coming year
- upcoming convocation ceremonies, which Senators were encouraged to attend
- protocols for distributing material intended for Senators

2. Minutes

With the addition of text to item 7 (Other Business), it was moved, seconded and *carried* **“that Senate approve the minutes of the meeting of June 23, 2011.”**

3. Business Arising from the Minutes

The Chair agreed to convey a request that Senate committees schedule major items in ways that will provide sufficient time for comment and questions at meetings, especially in the spring when a number of initiatives come to fruition and important Vice-Presidential reports are made.

4. **Inquiries and Communications**

4.1 Academic Colleague to the Council of Ontario Universities

Senator Sanders presented the most recent update on major issues under discussion at the Council of Ontario Universities.

5. **President's Items**

Dr Shoukri commented on the following matters:

- a recent Town Hall meeting at which the President and Vice-President fielded questions from the community
- notable achievements by faculty, students and alumni
- his participation in orientation events for the incoming class
- honorary degree recipients for Fall 2011 convocation ceremonies
- major infrastructure projects, including the completion of Osgoode Hall renovations, the imminent opening of the Life Sciences building, provincial funding for a new Engineering facility, and the subway
- the importance of focusing on the UAP / Provostial White Paper theme of quality
- the need for all members of the community to help correct faulty impressions of the University
- the public policy context for postsecondary education, especially as the provincial election campaign nears the end
- the appointment of Robert Haché as Vice-President Research & Innovation and Jeffrey O'Hagan as Vice-President Advancement
- funding challenges facing York and the university system

Under the auspices of the President, Provost Monahan reported on faculty appointments and the grade point average of entering students, along with an update on the PRASE initiative.

6. **Senate Committee Reports**

6.1 Senate Executive

Information was provided by Senate Executive about the following:

- action taken under summer authority
- vacancies on non-designated Senate committees
- additions to the pool of prospective honorary degree recipients
- approval of individuals nominated by Councils to serve on Senate committees

6.2 Academic Standards, Curriculum and Pedagogy

6.2.1 Consent Agenda Item (Omnibus Convocation Motion)

Senate *approved by consent* ASCP's recommendation to approve the granting of degrees, certificates and diplomas at the Fall 2011 to Spring 2012 Convocations and the forwarding of recommendations for certification by the Faculty of Education to the Ontario College of Teachers.

6.2.2 Information Items

ASCP reported that no action had been taken under the summer authority vested in its Coordinating & Planning Sub-committee.

6.2.3 Briefing on Quality Assurance

Vice-Provost Lenton provided Senate with an overview of modifications to curriculum approval proposals and cyclical reviews of graduate and undergraduate programs, and the status of a comprehensive Web resources in support of quality assurance.

6.3 Academic Policy, Planning and Research

6.3.1 Establishment of a Glendon Language Training Centre for Studies in French / Centre de formation linguistique de Glendon pour les Études en français

It was moved and seconded “that Senate approve the establishment of a Glendon Language Training Centre for Studies in French / Centre de formation linguistique de Glendon pour les Études en français at Glendon; and recommend that the Board of Governors approve the establishment of this new academic unit.”

The Chair of APPRC thanked those who had corresponded as the proposal was considered, and stressed the linkage of the Centre with the UAP’s goals and Glendon’s distinctive mission and mandate. Proponents described consultations since Senate had received notice of the motion, described the Centre’s complementary curriculum innovations, and summarized the support for the new structure within the Glendon community, especially the Council. Those speaking against the motion argued that the APPRC rationale had glossed over significant opposition within the Department of French Studies and favourable undergraduate program review results. It was also said that questions about the impact on contract faculty members had not been fully or satisfactorily addressed (the establishment of the Centre was a demoralizing prospect to many in this cohort, and to those who sensed the Centre represented a move toward economization and rationalization). Concerns were expressed about the viability of the intended pedagogical approach and course delivery modes. Consultations had not been as timely or thorough as possible, leaving unresolved a number of issues that must be addressed either before Senate acted or during the implementation phase (among them tenure and promotion arrangements, administration and governance, relations between the Centre and the Keele/Glendon cognates, enrolment management, harmonization, and workload).

On a vote, the motion *carried*.

6.3.2 Information Items

APPRC reported on the development of priorities for the coming year.

7. Other Business

There being no further business, Senate adjourned.

S. Dimock, Chair

H. Lewis Secretary

York University
Board of Governors
Synopsis of the 420th Meeting

*At its 420th meeting held on 3 October 2011
the Board of Governors of York University:*

Approved, on the recommendation of the Executive Committee:

- the *re-appointment* of the following Governors:
 - Zahir Janmohamed for a four year term effective 1 December 2011 – 31 December 2015
 - Robert Lewis for a four year term effective 1 December 2011 – 31 December 2015
 - Guy Burry for a two year term, effective 3 October 2011 – 31 December 2013
 - Sandra Levy for a four year term, effective 3 October 2011 – 30 June 2015
- the *extension* of David Denison's term from 1 December - 31 December 2011
- the *appointment* of the following for a four year term effective 3 October 2011 – 30 June 2015:
 - William Hatanaka
 - Armand La Barge

Received a report from the Executive Committee on the following actions taken by the Committee on behalf of the Board:

- A five-year contract with SciQuest Inc. to purchase and implement P2P Strategic Procurement and Supplier Enablement Technology at a cost of \$2.7 million for an estimated savings of \$4.85 million over the life of the contract;
- the extension of the term of membership on the Board for Guy Burry and Sandra Levy from 30 September 30 2011 to 3 October 2011; and
- The appointment of Mr. Jeffery T. O'Hagan as Vice President Advancement for a period of five years effective 1 October 2011.

Approved, on the recommendation of the Academic Resources Committee:

- the President's September 2011 report on Appointments, Tenure and Promotion; and
- the establishment of a Glendon Language Training Centre for Studies in French/ Centre de formation linguistique de Glendon pour les Études en français.

Approved, on the recommendation of the Finance and Audit Committee a series of four integrated campus development initiatives, including:

- authorization for the President to execute a Memorandum of Understanding and subsequent Facility Agreement with *Ontario Infrastructure and Lands Corporation* (Infrastructure Ontario) and the *Toronto Organizing Committee for the 2015 Pan American and Parapan American Games* (Toronto 2015) for the construction on the Keele Campus of a track and field stadium for the 2015 Pan American Games in accordance with the terms as agreed to by the parties;
- A capital project budget of up to \$20 towards the construction of the track and field stadium at York University, pursuant to the MOU and Facility Agreement documents with Toronto 2015 and Infrastructure Ontario;

- the negotiation and execution of a lease for off-campus space for up to five years (renewable) to accommodate the relocation of non-academic staff located in the East Office Building (EOB) which is slated for demolition. The cost of the five-year lease is not to exceed \$2.5 million and fit-up costs for the leased premises are not to exceed \$2 million; and
- a capital project not to exceed \$3.5 million for the relocation of the School of Social Work from its current location in the Kinsmen Building to the 8th floor of the Ross Building.

And the following separate capital projects:

- a capital expenditure of up to \$4.3 million for a campus-wide public address system on the Keele Campus for the primary purpose of emergency mass communication; and
- a cold beverage vending service contract between York University and Imperial Vending Ltd to June 2017 (following the expiry of the agreement with PepsiCo Beverages Canada).

Approved on Consent:

- an updated Banking Resolution
- the following appointments and re-appointments to the Pension Fund Board of Trustees:
 - Armand La Barge, as a Board of Governor nominee, effective 3 October 2011 for a three-year term;
 - Christine Silversides, as a Presidential nominee, effective 3 October 2011 for a three-year term;
 - Walter Silva as a CUPE 1356 nominee, effective 1 July 2011 for a three-year term
 - Giulio Malfatti reappointed as a YUSA nominee, effective 1 July, 2011 for a three-year term

Received, from the President a briefing on the following:

- Current challenges facing the post-secondary education sector;
- the focus in the year ahead on three objectives: augmenting the breadth of academic programs, continued enhancement of campus safety and strengthening the campus environment;
- the status of the searches for the Dean of the Faculty of Graduate Studies & AVP Graduate, and the Dean of the Faculty of Environmental Studies;
- the York University Staff Association's (YUSA) ratification of a renewed three-year collective agreement in August
- the commencement of Phase 2 of the PRASE initiative
- Honorary Degree recipients for the Fall convocation ceremonies

Received and discussed, information reports from the Executive, Academic Resources, Community Affairs, Finance & Audit, Governance and Human Resources, Investment and Land & Property Committees.

Harriet Lewis, Secretary

EXECUTIVE COMMITTEE

**Report to Senate
at its Meeting of October 27, 2011**

FOR INFORMATION

1. Senate Committee Priorities for 2011-2012

Senate committees have established their priorities for the year ahead as described below. All committees have other tasks to accomplish. Priorities are set as an aid to focusing on the completion of items deemed of greatest importance.

Academic Policy, Planning and Research

- implementation of the University Academic Plan 2010-2015, including reports from Vice-Presidents and engagement with the Deans / Principal / Librarian and others, taking into account the Provost's priorities for the year and Provostial White Paper objectives
- completion of amendments to the Senate Policy, Guidelines and Procedures on the Chartering and Renewal of Research Centres and Institutes
- advising academic administrators and Faculties on matters related to broad planning frameworks and to the establishment or transformation of academic units (eg, Engineering)
- consideration of issues associated with planning for graduate studies, and actions that may be necessary

Academic Standards, Curriculum and Pedagogy

- establishment of common academic regulations for the BSc degree (Health and FSE)
- call for and review of degree and undergraduate program learning expectations
- consideration of granting credit for non-degree studies (establishment of legislation)
- establishment of a First-Year Leniency Policy and revised Grading Scheme & Feedback Policy
- Access & Bridging Program Legislation
- review of Faculty grades distribution standards and processes

Appeals

- First-Year Forgiveness/Petitions Process Review (consultations in the autumn on draft First-Year Leniency Policy and revised Grading Scheme & Feedback Policy) (with Academic Standards, Curriculum and Pedagogy)

Awards

- ongoing discussions on alignment of awards programs with University Academic Plan 2010-2015 (and Provostial White Paper) objectives
- solicitation and adjudication of University-Wide Teaching Awards
- solicitation and adjudication of University Professorships

Executive (November to January)

- policy on degrees and certificates in extraordinary circumstances (in conjunction with Academic Standards, Curriculum and Pedagogy and in consultation with others)
- Equity Sub-Committee review of student reports
- liaison with Board Executive, Senate committee chairs

2. **Approval of Council Nominees for Senate Committee Members**

The Committee has approved the following individuals nominated for membership on Senate Committees by Faculty Councils:

Senate Executive: Angelo Belcastro, Health
Academic Policy, Planning and Research: Anna Agathangelou, Liberal Arts & Professional Studies

3. **Equity Sub-Committee**

The members of the Sub-Committee on Equity for 2011-2012 are:

William van Wijngaarden, Vice-Chair of Senate / faculty member, Senate Executive
Kathy Hudak, faculty member, Senate Executive
Shannon Snow, graduate student, Academic Standards, Curriculum and Pedagogy
Jill Bell, faculty member, Academic Policy, Planning and Research
Terry Carter, University Secretariat (designated by the Senate Secretary)

The Sub-Committee has been asked by Senate Executive to examine recent reports generated by student groups at the University (the GSA Equity Audit) and the Canadian Federation of Students - Ontario (Task Force on Campus Racism Report) with a view toward determining if there are matters that should be addressed by the collegium.

Susan Dimock, Chair



COMMITTEE ON ACADEMIC STANDARDS, CURRICULUM AND PEDAGOGY

*The Senate
of York University*

Report to Senate at its meeting of 27 October 2011

Documentation for all items has been posted on the Senate Website.

CONSENT AGENDA

1. **Approval of a New Field in *East Asian History* in the Graduate Program in History • Faculty of Graduate Studies**

The Committee on *Academic Standards, Curriculum and Pedagogy* recommends that Senate approve the new Field in East Asian History in the Graduate Program in History, in the Faculty of Graduate Studies, effective FW 2012-2013.

Rationale

The attached proposal sets out the details of the proposed new field and the full rationale for formally introducing it to the graduate program in history. Previously York housed the country's foremost faculty members in East Asian history. The prominence of this focus within the program faded for a spell with the departure of core faculty members. The strength of the faculty in this discipline, however, has been significantly regenerated by new appointments in the past few years, including the Canada Research Chair in Chinese history. It is York's fortune that its history program is once again home to a nationally distinguished cohort of East Asian history scholars. Coupled with the strong demand from current graduate and undergraduate students for courses in East Asian history, the program is well positioned to add this new graduate field of study. The History program is not seeking the endorsement of the field by Quality Council; it is proceeding as a major modification to the existing program.

Dean Singer has recorded his enthusiastic support for the re-emergence of the History program's strength in this field, noting the alignment of the initiative with the Faculty's Strategic Plan. The roll-out of the new field will be gradual, with modest new curriculum and enrolments to start. This approach will allow the program both to ensure that the current student interest in this area is sustained, and also to assess the full-time faculty resources that will ultimately be necessary to support the new field as it reaches its steady-state enrolments. The Dean has committed to ensuring that the graduate program will be sufficiently resourced to continue to offer the field in East Asian History. There has been consultation with the undergraduate program in History on the initiative and the Chair of the Department has lent his support.

On the basis of the strong support for the proposal, the Senate Committee is pleased to recommend the approval of the new field to the graduate history program.

Approved by FGS Council 5 May 2011 • Approved by ASCP 15 June 2011

FOR INFORMATION

1. **Sessional Dates for SU 2012 and FW 2012 - 2013**

On behalf of the University Registrar, the Committee transmits to Senate for information the Sessional Dates for SU 2012 and FW 2012-13, attached. The Committee has confirmed that the beginning, end and suspension dates are consistent with the guidelines governing the determination of dates set out in the *Senate Policy on Sessional Dates and Scheduling of Examinations*.

The FW 2012-2013 academic year marks the implementation of the new timing of the Fall Co-curricular days approved by Senate last June. The Co-curricular days will be observed on October 31 – November 4, 2012 inclusively.

The University Registrar has also advised that there has been a minor modification to the FW 2011-12 Sessional Dates since they were presented to Senate in November 2010. The dates now reflect the December 23 paid holiday closure resulting from the recent YUSA contract negotiations. Otherwise, the dates remain unchanged. They are available on the following web site:

<http://www.registrar.yorku.ca/enrol/dates/fw11.htm>

Documentation is also attached as Appendix A.

2. **2011-2012 Committee Priorities and Sub-Committee Membership**

Priorities

The ASCP Committee has confirmed its top priorities for this academic year. They have been reported to Senate Executive. The list of priorities was derived from a discussion of the status of the Committee's 2010-2011 initiatives and input from the both the Vice-Provost Academic and the Vice-President Students on the curriculum and pedagogy related objectives for their respective offices for 2011-2012.

Sub-Committee Membership

ASCP has populated each of its sub-committees and working groups with representatives for the year; the list is attached for Senate's information.

3. **Minor Curriculum Items Approved by ASCP (effective FW 2012-13 unless otherwise stated)** ***Copies of the full proposals are available on the Senate website.***

a) Faculty of Graduate Studies:

- Changes to the calendar copy description of the MBA/MFA/MA Combined Program offered by FGS, the Schulich School of Business and the Faculty of Fine Arts. The revised text better reflects the combined MBA/MFA and MBA/MA programs as they are currently offered. The program description now includes: the new MA and MFA programs introduced in recent years; recent changes to curriculum and degree requirements approved for the individual MA and MFA programs; and a narrative which lays out the options for moving through the program which is easy to understand and incorporates the options for all students.

b) Faculty of Liberal Arts & Professional Studies

- Addition of the Faculties of Environmental Studies and Fine Arts (the latter approved on an individual case basis) to the list of Faculties that allow the Business Minor as a combination option for non-business Honours programs that have a major/minor option;
- Minor changes to the degree requirements for the BA programs in Cognitive Science which provide students greater breadth and options for completing the general education and major credit requirements;
- Restructuring of the major requirements for the BA programs in Human Rights and Equity Studies to incorporate two new required courses aimed at strengthening students' knowledge of, and capacity with, methods and theory; the number of required major credits has not been changed.

Amir Asif, Chair, Committee on Academic Standards, Curriculum and Pedagogy

APPENDIX A / ASCP Report

Summer 2012 Sessional Dates (as of October 11, 2011)

Term D2	
Start Date	Mon. July 9
End Date	Fri. Aug. 3
Length of Term	4 Weeks
Exams	Exams for all faculties, to be incorporated into class schedule

Term I1	
Start Date	Mon. May 7
End Date	Fri. May 25
Length of Term	3 Weeks
Victoria Day	Mon. May 21
Exams	Exams for all faculties, to be incorporated into class schedule

Term I2	
Start Date	Mon. May 28
End Date	Fri. June 15
Length of Term	3 Weeks
Exams	Exams for all faculties, to be incorporated into class schedule

Term I3	
Start Date	Mon. June 18
End Date	Fri. July 6
Length of Term	3 Weeks
Canada Day	Mon. July 02
Exams	Exams for all faculties, to be incorporated into class schedule

Note: Faculties wishing to add or reschedule a class should have their admin staff complete an ad hoc booking request at <http://intranet.registrar.yorku.ca/policies/adhocrequest/index.htm>

Term J1	
Start Date	Mon. May 7
End Date	Fri. June 1
Length of Term	4 Weeks
Victoria Day	Mon. May 21
Exams	Exams for all faculties, to be incorporated into class schedule

Term J2	
Start Date	Mon. June 4
End Date	Fri. June 29
Length of Term	4 Weeks
Exams	Exams for all faculties, to be incorporated into class schedule

Term J3	
Start Date	Mon. June 4
End Date	Fri. July 13
Length of Term	6 Weeks
Canada Day	Mon. July 02
Exams	Exams for all faculties, to be incorporated into class schedule

Term S1 Class Meets 2 Days per Week	
Start Date	Mon. May 7
End Date	Fri. June 15
Length of Term	6 Weeks
Victoria Day	Mon. May 21
Exams Start Date	Wed. June 20
Exams End Date	Fri. June 22

Term S2 Class Meets 2 Days per Week	
Start Date	Mon. June 25
End Date	Fri. Aug. 3
Length of Term	6 Weeks
Canada Day	Mon. July 02
Exams Start Date	Tues. Aug. 7
Exams End Date	Fri. Aug. 17

Term S3	
Start Date	Mon. May 7
End Date	Fri. July 6
Length of Term	9 Weeks
Victoria Day	Mon. May 21
Canada Day	Mon. July 2
Exams	Exams for all faculties, to be incorporated into class schedule

Term SU Class Meets 1 Day per Week	
Start Date	Mon. May 7
End Date	Fri. Aug 3
Length of Term	13 weeks
Victoria Day	Mon. May 21
Canada Day	Mon July 2
Exams Start Date	Tues Aug 7
Exams End Date	Fri Aug 17

FW 2012 - 2013 SESSIONAL DATES

As of October 11, 2011 FINAL

FALL 2012		
Labour Day		Monday, September 03, 2012
Fall & Y Term Begins		Wednesday, September 5, 2012
Thanksgiving		University Closed Monday October 8, 2012
Co-Curricular Days	No classes, exams or tests can be held	Wednesday, October 31 - Sunday, November 4, 2012
Fall Term Ends		Monday, December 3, 2012
Length of Term	60	
Number of Class "Meets"	M12 / T12 / W12 / R12 / F12	
Make Up Days		N/A
Study Day(s)	No classes, exams or tests can be held on this day	Tuesday, December 4, 2012
Fall Exams Begin		Wednesday, December 05, 2012
Fall Exams End		Friday, December 21, 2012
Monday-Friday Exam Periods	39 (13 Days X 3 Timeslots Per Day)	9:00am-12:00noon 2:00-5:00pm 7:00-10:00pm
Saturday Exam Periods	6 (2 Days X 3 Timeslots Per Day)	9:00am-12:00noon 2:00-5:00pm 7:00-10:00pm
Sunday Exam Periods	4 (2 Days X 2 Timeslots Per Day)	2:00-5:00pm 7:00-10:00pm
Total Exam Periods	49	

WINTER 2013

Winter & Y Term Begins/Resumes		Wednesday, January 02, 2013
Family Day		University Closed Monday February 18, 2013
Winter Term Reading Week	No classes, exams or tests can be held	Saturday February 16 - Friday February 22, 2013 Inclusive
Good Friday		University Closed Friday March 29, 2013
Make Up Days		Wednesday April 3, 2013 (Makeup Day for 12th Friday Class)
Winter & Y Term Ends		Wednesday, April 03, 2013
Length of Term	60	
Number of Class "Meets"	M12 / T12 / W12 / R12 / F12	
Study Day(s)		No Classes or Make-Up Exams Held Thursday April 4, 2013
Exams Begin		Friday, April 05, 2013
Exams End		Monday, April 22, 2013
Monday-Friday Exam Periods	36 (12 Days X 3 Timeslots Per Day)	9:00am-12:00noon 2:00-5:00pm 7:00-10:00pm
Saturday Exam Periods	9 (3 Days X 3 Timeslots Per Day)	9:00am-12:00noon 2:00-5:00pm 7:00-10:00pm
Sunday Exam Periods	6 (3 Days X 2 Timeslots Per Day)	2:00-5:00pm 7:00-10:00pm
Total Exam Periods	51	

Term WS

Start Date	Wednesday, January 02, 2013
End Date	Friday, August 02, 2013
Family Day	Monday, February 18, 2013
Reading Week	Saturday February 16 - Friday February 22, 2013 Inclusive
Good Friday	Friday, March 29, 2013
Victoria Day	Monday, May 20, 2013
Canada Day	Monday, July 01, 2013

**Report to Senate
 at its meeting of October 27, 2011**

FOR INFORMATION

1. Annual Student Appeals Statistics, 2010-2011

In this annual report, the Senate Appeals Committee (SAC) describes its activities for the past year, and presents data on Senate and Faculty-level cases.

The Committee met for panel meetings and/or appeal hearings on 20 occasions between July 1, 2010 and June 30, 2011, during that time it considered 98 files. The type of appeals filed and breakdown by Faculty remained much the same as in previous years. For the most part, Faculty-level decisions on appeals continued to be upheld. Only 1.2% of students who appealed to their Home Faculty went on to appeal to the Senate Appeals Committee, and of these, the majority (93%) were denied. There has been an increase in the number of appellants who were late in submitting their appeals, often by more than a year. In 2010-2011, 19 of 89 appellants asked for permission to waive the SAC appeal deadline. Without special circumstances such requests are seldom granted. See Table 1.

**Table 1
 SENATE APPEALS COMMITTEE CASE LOAD
 BY YEAR**

YEAR	CASES
2005-2006	154
2006-2007	156
2007-2008	133
2008-2009	137
2009-2010	120
2010-2011	98

**Table 2
 OUTCOME OF CONSIDERATION
 BY YEAR AND DECISION**

	2005-2006		2006-2007		2007-2008		2008-2009		2009-2010		2010-2011	
	G¹	D	G	D	G	D	G	D	G	D	G	D
Leave to Appeal of Faculty Decisions	26	104	16	119	12	102	12	107	19	86	8	81
Reconsideration of Leave To Appeal Decisions	3	21	3	18	1	18	3	15	3	12	1	8
Appeals Granted at Hearing	n/a		n/a		9	105	6	113	6	99	6	83

¹ G=Granted D=Denied

The principal type of appeal continues to be for late withdrawal, both for appeals to SAC (69% of the total) and appeals at the Faculty level (62% of the total). See Table 3. Last year the Senate Executive Committee questioned the number of appeals for late withdrawal from courses. The number of students seeking late withdrawal from a course not only represents an unreasonable burden on faculty and staff but also gives rise to questions concerning academic standards. The subcommittee of ASCP and SAC (JSCAIA) will be meeting in Fall/Winter 2011-2012 to continue its discussion on proposed changes to the Senate Grading Scheme and Feedback Policy. It also plans to meet with Faculties to discuss ideas on how to reduce the volume of petitions and the creation of a Policy and Guidelines on the First-Year Leniency option for late withdrawal appeals.

**Table 3
SENATE LEVEL APPEALS BY TYPE
AND YEAR**

Type of Appeal to SAC	2007-08 133 Appeals	2008-09 137 Appeals	2009-10 120 Appeals	2010-11 98 Appeals
Retroactive Withdrawal	75	57	61	61
Academic Honesty Penalty	7	17	4	2
Grade Reappraisal Result	8	14	13	5
Waiver of Required Withdrawal / Debarment	5	10	9	8
Other	6	5	12	4
Late Enrolment	0	2	1	2
Deferment	5	1	5	7
Reconsideration of SAC decision	19	18	15	9
Waiver of Appeal Deadline	8	12	30	19

Note: Table 3: Appeal of deadlines is not counted in the total as it is included as part of another request.

Table 4
NUMBER OF FACULTY-LEVEL PETITIONS & APPEALS
IN ENROLMENT CONTEXT
2007-2008 TO 2010-2011

Faculty	2007-2008	2008-2009 (*strike year)	2009-2010 YU Enrolment: 53,205	2010-2011 YU Enrolment: 54,237 ²r=1:7
LA&PS	2939 AK/2306 AS Enrolment: 25,085	1782 AK/2089 AS Enrolment: 24,672	4,622 Enrolment: 24,559	3,660 Enrolment: 24,837 r=1:7
Education	82 Enrolment: 822	89 Enrolment: 753	128 Enrolment: 734	134 Enrolment: 742 r=1:5
FES	44 Enrolment: 734	49 Enrolment: 810	64 Enrolment: 874 r=1:13	PDG Enrolment: 901
Fine Arts	40 Enrolment: 2,973	79 Enrolment: 3,034	20 Enrolment: 3,018	119 Enrolment: 3,015 r=1:30
Glendon	319 Enrolment: 2,459	290 Enrolment: 2,435	408 Enrolment: 2,572	292 Enrolment: 2571 r=1:8
Health	850 Enrolment: 8,347	775 Enrolment: 8,445	956 Enrolment: 8,872	1,046 Enrolment: 9,550 r=1:9
Osgoode	60 Enrolment: 881	88 Enrolment: 901	30 Enrolment: 894	30 Enrolment: 920 r=1:30
Schulich	159 Enrolment: 1,519	259 Enrolment: 1,593	241 Enrolment: 1,660	252 Enrolment: 1,650 r=1:7
FSE	708 Enrolment: 3,259	592 Enrolment: 3,436	680 Enrolment: 3,894	985 Enrolment: 4,045 r=1:4

² r = ratio of appeals to undergraduate students

- See Revised Tables 4 & 5. Total number of appeals is decreased.

The majority of SAC appeals come from the larger undergraduate Faculties. As shown in Table 7, 40 appeals (45% of SAC appeals) come from the Faculty of Liberal Arts & Professional Studies. The proportion of undergraduate petitions and appeals overall to the total undergraduate student body is 1:7. For the Faculty of Liberal Arts and Professional Studies the ratio is 1:7, for Fine Arts it is 1:30. Although the reasons for such diversity between Faculty cultures is not wholly clear, it has been suggested that being in such a large Faculty as LA&PS, students can be confused about where to go for advising re: deadlines and regulations. Whereas students in smaller Faculties such as Fine Arts, Schulich and Osgoode are more likely to know where to go for assistance when they run into difficulties. These Faculties tend to have lower numbers of appeals. See Table 5.

Table 5
FACULTY-LEVEL PETITIONS AND APPEALS BY TYPE
FALL/WINTER 2010-2011

Type of Petition	GL	FES	ED	OSG	FA	FGS	FSE	HH	LAPS	SSB	Total
Late Withdrawal			20	1	56	15	604	637	2134	43	3510
Def/Supp Exam				27	2	44	187	79	647	5	991
Waive Required Withdrawal/Debarment			29	2			42	120	244	69	506
Late Enrolment		Pending	2	0	8	3	34	35	201	23	306
Waive Honours Standing Requirement								76	189		265
Dept/Program Waiver			25		46	62	23	14	10		180
Leave of Absence			1			135				26	162
Change of Status			1			140				9	150
Course Overload					4		36	20	74	3	137
Take/repeat additional credits			1				28	51	34		114
To Upgrade GPA									69		69
Grade Reappraisal					1		8		8	41	58
Waive Degree/Prog/Gen Ed Requirement			10					9	23		42
Stop Out (BEd)			32								32
Other	292		1			12	9			3	317
LOP					2		1	2	13		18
Financial Appeal (FGS)						13					13
Waive deadline							12				12
Delay Convocation (Bed)			9								9
Waive Required GPA									8		8
Pass/Fail Option								3	4		7
Exemptions						3	1		1		5
Take a Course out of Sequence (BEd)			3								3
External (FGS)						3					3
Waive Elective Requirement											1
Faculty Totals:	292		134	30	119	430	985	1046	3660	222	6918

SAC is including in its annual report, statistics on the number of appeals to SAC regarding Faculty decisions on charges of breaches of academic honesty. The number of appeals to SAC remain low as the charges were generally resolved at the Faculty level. Although some Faculties have noted an increased number of charges within their own area, there did not appear to be an increase overall in the number of cases, in comparison to the total student population. For 2010-2011, there were 515 reported cases of breaches of academic honesty equal to 0.9% of the total student body at York (54,237 students). See Table 6.

Table 6
FACULTY-LEVEL ACADEMIC HONESTY CASES
2006-2007 TO 2010-2011

Faculty	2006 N=369³	2007 N=302+	2008 N=439 (0.8%) of YU Enrolment	2009 N=654 (1.3%) of YU Enrolment	2010 N=515 (0.9%) of YU Enrolment
LAPS	291(AS/AK)	223(AS/AK)	229(AS/AK)	351(AS/AK) ⁴	252
FSE	-	-	77	127	118
HEALTH	42	77	41	85	44
FGS	9	-	23	27	21
FES	-	-	11	18	Pending
SCHULICH	-	-	29	15	32
GLENDON	-	-	11	12	26
OSGOODE	6	2	8	10	3
FINE ARTS	21	-	10	9	19
EDUC	-	0	2	3	0

³ SAC began asking Faculties to provide academic honesty data in 2006. Where cells are blank (-), data have not been received.

⁴ Figures for LAPS prior to 2009-2010 combine Arts and Atkinson.

Table 7 is a source-Faculty breakdown of the SAC caseload in recent years. Requests for reconsideration are not included. The data show the number of files reaching SAC from LA&PS dipped this past year, although they still make up 45% of the total. In other respects, the numbers are comparable to other years.

**Table 7
APPEALS TO SENATE APPEALS COMMITTEE BY FACULTY**

Student's Home Faculty	2006-07 APPEALS:156 Total Number of Faculty appeals: 6,900 Enrolment: 51,420	2007-08 APPEALS: 133 Total Number of Faculty appeals: 7,757 Enrolment: 51,819	2008-09* APPEALS: 137 Total Number of Faculty appeals: 3,871 (*strike year) Enrolment: 51,989	2009-10 APPEALS:105 Total Number of Faculty appeals: 7,522 Enrolment:53,205	2010-11 APPEALS:89 ⁵ Total Number of Faculty appeals: 7,379 Enrolment: 54,237
LA&PS ⁶	103	76	80	48	40
Health	1	13	9	13	19
Science & Engineering	30	13	16	13	10
Glendon	4	12	8	8	10
Grad Studies	5	3	3	7	4
Schulich	4	5	4	6	3
Osgoode	6	10	8	8	3
Education	0	1	7	1	0
Env.Studies	2	0	0	0	0
Fine Arts	1	0	2	1	0

2. Hail and Farewell

The members of the Senate Appeals Committee and the support staff of the Secretariat would like to extend their thanks and appreciation to our departing members for their work on and commitment to, the Senate Appeals Committee this past year: Professor Scott Adler and Professor Parissa Safai, our student members: Mazen Jazi, Canova Kutuk and Adam Zendel, and a special thanks to our departing Chair, Professor Mary-Louise Craven.

A warm welcome is extended to our new faculty members for 2010-2013: Professors' Anne MacLennan, Ali Asgary, Teresa Przybylski and Jennifer Gilbert as well as our new student members Andrey Mazurkov, Ashley Naipaul and Nelson Marques.

3. Joint ASCP-SAC Sub-Committee on Academic Integrity & Appeals (JSCAIA)

The Joint ASCP-SAC Sub-Committee on Academic Integrity & Appeals meets on an Ad-Hoc basis to review policy matters pertaining to academic honesty and/or academic petitions and appeals. The members of the sub-committee for 2011-12 are: ASCP Committee members, Doba Goodman, and Lisa Farley; 2010 SAC Committee Chair, Mary-Louise Craven; SAC Committee members (Logan Donaldson and Anne MacLennan); AVP Academic (or Designate); AVP Enrolment Management and Registrar (J. Duklas) and Student member (Shannon Snow, ASCP). ASCP / SAC Secretary (C. Underhill / E. Veness).

Senate Appeals Committee, 2011-12

⁵ Note: Table 7: Requests for reconsideration of a negative decision , not included.

⁶ Totals for LA&PS prior to 2009 are the combined totals for Atkinson and Arts.

Senate Committee on Tenure and Promotions

Report to Senate
at its meeting of 27 October 2011

FOR INFORMATION

1. Tenure and Promotions Data, 2010-2011

A total of 96 files were completed in 2010-2011. Of these cases, 30 were reviewed by a panel of the Senate Committee, with the rest being reviewed at Faculty-based Senate Review Committee meetings.

The statistical report of files reviewed in 2010-11, with the 2009-10 data for comparison, is appended as **Appendix A**. As was noted last year, the hires in the early to mid 2000's are for the most part through the tenure process. In 2010-11 there were approximately the same total number of files as in 2009-10. As hires have declined, the number of advancements to Candidacy have dropped noticeably (49 in 2010-11 to 21 expected this year). In 2013-2014, when those advanced this year are expected to apply for tenure, the number of files will be much fewer than in the five years to 2011-12 where the number of T&P files ranged from 69-90.

Of the files completed this year, 14 had been referred back by Review Committees, adding to the length of time to completion. The Senate committee and its secretary continue to provide information and advice on the process through memoranda, the T&P Toolkit and presentations at Faculties, with the aim of improving file preparation and adjudication.

2. Procedural changes

The Faculty of Fine Arts has revised its tenure and promotion procedures for 2011-12. Prior to this time, due to the number of departments too small to establish Adjudicating Committees, Faculty of Fine Arts files were adjudicated at the Faculty level and reviewed by a panel of the Senate committee. With the growth in the Faculty and the closure of one of the smaller departments, beginning in 2011-12 the Faculty will follow the normal procedures for Faculties with departments, with adjudication at the departmental level and review by a sub-committee of the Senate committee composed of the Faculty committee plus two members of the Senate committee.

3. Unit-level standards

The Senate committee continues to review unit-level standards and a status report is appended as **Appendix B**.

To date standards have been received from 43 units, 19 of which have been found to be in accord with the University criteria and procedures and many more require only minor change to bring them into accord. Last year, four were found in accord with the *Tenure and Promotions Policy, Criteria and Procedures*: Economics (Glendon); Computer Science and Engineering and Mathematics and Statistics (Faculty of Science and Engineering); and Public Policy and Administration (Liberal Arts & Professional Studies).

Four Faculties have finalized standards: Education, Environmental Studies, Schulich School of Business and Faculty of Fine Arts. Three out of four departments in the Faculty of Health and four out of seven in Science and Engineering have been found in accord, and the Osgoode standards require only minor revisions to be in accord with the *Policy*. Numerous units in LA&PS and Glendon

have either not submitted standards or have received feedback from the Senate committee asking for revision and have not yet re-submitted. We will continue to work with Faculties and units to determine how completion can be facilitated.

A list of standards found to be in accord with the University criteria and procedures is on the Senate committee's web page at: <http://www.yorku.ca/secretariat/senate/committees/tnp/TnPStandards.htm>. As finalized standards are received they are posted to the web page.

4. Other

As many Senators are aware, file review processes have been de-centralized to a large degree. Although Senate committee members serve on Faculty-based review committees -- which makes them sub-committees of Senate T&P -- the Committee itself has no direct experience of operations and knowledge of issues arising. The Committee believes that Senate should have the benefit of fuller information about these important collegial processes, and has resolved to request annual reports from Faculty committees on operations, actions and issues.

This year, the committee awaits an opportunity to discuss the amendments to the tenure and promotions policy which are currently being deliberated by the parties.

Deborah Britzman

Wenona Giles

2010-11 Co-chairs, Senate Committee on Tenure and Promotions

Appendix A

TENURE AND PROMOTION COMPARATIVE STATISTICS

2009-2010 AND 2010-2011

**Table 1
Number of Cases Completed
By Type of Application and Gender**

Application Type:	Full Professor/ Sr Lecturer		T&P to Associate Professor/Lecturer		Tenure only		Promotion to Associate only		Total Number	
	10-11	09-10	10-11	09-10	10-11	09-10	10-11	09-10	10-11	09-10
Number of Applications	24	20	69	70	2	0	1	2	96	92
<i>Female Candidates</i>	12	8	30	39	0	0	1	2	43	49
<i>Male Candidates</i>	12	12	39	31	2	0	0	0	53	43

**Table 2
Summary of Positive Recommendations to the President
by Recommendation and Gender**

Positive Recommendations	Number	
	10-11	09-10
Full Professor/ Senior r Lecturer	24/24 – 12 female - 12 male	20/20 – 8 female - 12 male
Tenure and promotion to Associate Professor/Lecturer	66/69 - 29 female - 37 male	68/70 - 37 female - 31 male
Tenure without Promotion to Associate Professor/Lecturer		1/70 – 1 female
Tenure only	2/2 - 2 male	
Promotion to Associate only	1/1 – 1 female	2/2 – 2 female

Table 3
Summary of Negative Recommendations to the President
By Recommendation and Gender

Negative Recommendations on Tenure and/or promotion to Associate Professor	Number	
	10-11	09-10
Denial	0/69	0/70
Delay	3/69 - 1 female - 2 male	1/70 – 1 female

Explanatory notes

Of the 96 completed files, the Adjudication and Senate Review Committees recommendations were in accord with the exception of three cases. The Review Committee dissented from one delay recommendation and two deny recommendations. In two cases it recommended tenure and promotion; in the third case it recommended delay rather than deny.

The President concurred in the recommendations of the Senate Review Committee in all but two cases. In both cases, the Senate Review Committee recommended tenure and promotion and the President's decision was to delay tenure.

The data are for decisions made between September 1, 2010 and August 31, 2011.

APPEALS OF DENIAL OF ADVANCEMENT TO CANDIDACY

There were no appeals of denial of advancement to Candidacy in 2010-2011.

APPENDIX B / Tenure and Promotions Report

Unit Level Standards

UNIT	Latest Senate Review	Status ¹	Notes
Faculty of Education	May-05	In accord	Revision in progress
Faculty of Environmental Studies	Aug-06	In accord with minor revisions	Revisions reviewed in May-10, some further revision necessary
Faculty of Fine Arts: submitted Faculty-wide standards	Sep-09	In accord	Some departmental standards forthcoming
Glendon College			
Economics	Oct-10	In accord	
English	Oct-11	To be reviewed	
French Studies	Jun-08	In accord	
Hispanic Studies	Jun-08	Requires clarification	
History	May-05	Revision required	
Mathematics		None submitted	
Multidisciplinary Studies		None submitted	
Philosophy	Oct-08	In accord	
Political Science	Dec-09	T&P in accord; Full Prof requires minor revision	
Psychology		None submitted	
Sociology		None submitted	
Translation	May-05	Revision required	
Women's Studies		None submitted	
Osgoode Hall Law School	Mar-11	Minor revisions	
Schulich School of Business	Jun-03	T&P in accord	
	May-08	Full Professor in accord	
Faculty of Science and Engineering			
Biology	Dec-09	T&P in accord; Full Prof requires minor revision.	
Chemistry	Jun-08	Revision required	
Computer Science & Engineering	Apr-11	In accord	
Earth and Space Science & Engineering	Jun-08	Revision required	
Mathematics and Statistics	Dec-10	In accord with minor revisions	
Physics and Astronomy	May-08	Revision required	
Natural Science	Jun-10	In accord	
Faculty of Health			
Health Policy and Management	Oct-08	In accord.	
Kinesiology and Health Science	Nov-10	Minor revisions	
Nursing	Dec-10	In accord	
Psychology	Nov-08	T&P only in accord with minor revisions.	
Faculty of Liberal Arts and Professional Studies**			

¹ "In accord" means in accord with University criteria and procedures

	Arts/Atkinson status	Current status	
Administrative Studies	In accord	In accord	
Anthropology	Senate review May-10- needs revision	Under review at Faculty committee	
Communication Studies		New unit, none submitted	
Economics	Arts: May 05 minor revisions only; ATK: Sep 06 as part of ITEC - minor revisions only		
English		In accord - Jun 10 with minor revisions	
Equity Studies		1st draft at Faculty committee	
French Studies	Arts: Jul 08 - minor revisions only	Under review at Faculty committee	
Geography	Arts: Jun 08 - minor revisions only		
History	Arts: Jun 08 - minor revisions only		
Humanities	Arts: Jun 08 - minor revisions only		
Human Resource Management		New unit, none submitted	
Information Technology	ATK: May 08 - ratings require clarification		
Languages, Literatures and Linguistics	Arts: Feb 04 revision required		
Philosophy	Arts: In accord Oct 08		
Political Science	Arts: Oct 03 - revision required		
Public Policy & Administration	ATK: Apr 09- minor revisions only	Dec-10 - In accord with minor revisions	
Social Science	Arts: May 05 - revision required; ATK: Jun 08 - some ratings require clarification		
Sociology	Arts: May 05- revision required		
Social Work	ATK: Apr 09- minor revisions only	Under review at Faculty Committee	
Women's Studies	none submitted	1st draft at Faculty committee	
Writing Department	Arts: CAW in accord Oct 07	alternate stream only	

** NOTE: current status indicates if has been reviewed since LA&PS established (Jul 1/09) or if found to be in accord and was previously in accord and is not a combined unit, e.g. SAS.



ACADEMIC POLICY, PLANNING AND RESEARCH COMMITTEE

Report to Senate
at its meeting of October 27, 2011

CONSENT AGENDA

1. Change of Mandate, Canadian Centre for German and European Studies

APPRC recommends

that Senate approve a change in the mandate of the Canadian Centre for German and European Studies, as set out in Appendix A.

Rationale

This recommendation was first vetted by APPRC's Sub-Committee on ORUs, which concluded that represents an appropriate re-articulation of its aspirations and operations. The proposal is supported by the Office of the Vice-President Research and Innovation. The change addresses the Centre's own mission, but the rationale locates the proposal in the context of broader University goals.

Approved by APPRC September 22
Documentation is attached as Appendix A.

FOR INFORMATION

1. Autumn Reports of the Provost and the Vice-President Finance and Administration

Vice-President Brewer briefed the Committee on the budget context for academic planning at APPRC's meeting of October 6 and the Provost previewed his report to Senate at our meeting of October 20. Together these reports identify the special challenges facing planners. In this sense they reinforce the need for attention to our agreed-upon planning priorities set out in the University Academic Plan.

Budget cuts are forecast for the next three years within a range of 2.5 to 3.5 per cent. This is a worrisome prospect given the magnitude of these cuts and the fact that they follow on successive years of reductions. APPRC shares the concern expressed by Vice-President Monahan and Vice-President Brewer in their reports that continuing resource challenges constitute a serious impediment to the realization of our academic plans. There have been a number of positive developments in the past year, and the Provost's report opens with an impressive set of accomplishments. A number of initiatives geared toward maximizing resources for academic activities have been set in place, including sixty centrally-funded appointments over two years at a time when few other institutions have the capacity to hire. APPRC applauded the protection of this program in June, and welcomes efforts to increase resources for academic activities through more effective administrative arrangements.

Looking ahead, there is no denying that the external environment depicted in both reports is at best uncertain and potentially menacing. The pivotal achievement of 2010-2011 was Senate's approval of

a new UAP and its constituent priorities. The plan encompasses our values and objectives, but it also identifies, in broad strokes, the means of attaining our goals. Notably, it calls for “improved processes in support of academic planning that streamline without undermining collegial governance.”¹ These points are set out in the UAP section devoted to “Promoting Effective Governance.” Our ability to give these principles concrete expression will be a test of our commitment to the UAP. APPRC intends to explore ideas about the UAP in the current context with the Deans / Principal and University Librarian (see item 2, below); but overcoming challenges is a matter for the entire collegium.

2. Engagement with the Deans / Principal / Librarian and Format of Discussions

APPRC has accepted advice from its Technical Sub-Committee on the focus, format, and timing of discussions with the Deans / Principal and University Librarian, who will be asked to respond to the following question this year:

With respect to the University Academic Plan 2010-2015, what objectives have you prioritized, how are you pursuing them, and what impediments, if any, are you encountering in implementing them?

This question communicates the expectation that Faculties and Libraries will be active participants in “executing the plan,” and will provide the Committee and Senate with timely, meaningful information about progress toward the attainment of objectives – or difficulties being experienced. Respondents will be given sufficient lead time to prepare submissions (which should be brief but thorough) and, to begin engaging with the UAP this autumn if they have not done so. Last year’s format involved groups of three or four visitors. This arrangement appeared to work well and promoted the kind of knowledge-sharing that is essential to pan-University, cooperative planning.

3. Priorities for 2011-2012

As reported to Senate Executive, the Committee’s priorities for 2012-2012 are the following:

- implementation of the *University Academic Plan 2010-2015*, including reports from Vice-Presidents and engagement with the Deans / Principal / Librarian and others, taking into account the Provost’s priorities for the year and Provostial White Paper objectives
- completion of amendments to the *Senate Policy, Guidelines and Procedures on the Chartering and Renewal of Research Centres and Institutes*
- advising academic administrators and Faculties on matters related to broad planning frameworks and to the establishment or transformation of academic units (eg, Engineering)
- consideration of issues associated with planning for graduate studies, and actions that may be necessary

¹ Related goals are

- effective, responsive academic administration
- more sophisticated means of assessing ongoing and proposed academic activities
- continuing attention to academic programs and unit structures so as to achieve a structural array that is appropriate to York’s overall mission and to the objectives set out in this plan
- coordination of graduate/undergraduate planning around curriculum, enrolments, and deployment of resources and
- strategic planning modalities that promote and permit “tough choice” decisions that are fact-based and otherwise informed
- transparency in decision-making where appropriate

4. Schedule for 2011-2012

Working with Vice-Presidents and others, the Committee has developed the following schedule of major items. Additionally, APPRC will receive reports from its own sub-committees and the Equity Sub-Committee of Senate Executive. It has also been suggested that the Committee devote some time to matters related to non-traditional students / studies, and take up matters related to research support (limitations on SSHRC funding, preservation of on-line research).

Date	Items
October 6	Autumn Report of the Vice-President Finance and Administration Change of Mandate: Canadian Centre for German and European Studies Technical Sub-Committee Report
October 20	Autumn Report of the Provost Communication to Deans / Principal / Librarian
November 3	Autumn Report of the Vice-President Research and Innovation (various matters, including establishment and terms of reference for research groups)
November 17 at Glendon	AIF Progress Report / Feedback on Call for Proposals (Associate Vice-President Teaching and Learning Progress on the Implementation of the Glendon Centre)
December 1	Graduate Education Planning (FGS Dean)
January 12	Continuing Education Framework
January 26	Engineering Curriculum Proposal
February 9	Review of Submissions from Deans / Principal / Librarian
February 16	Engagement with Planners
March 8	Engagement with Planners
March 22	Engagement with Planners
April 5	Advice on an Update Strategic Research Plan (Vice-President Research and Innovation)
April 19	
May 3	
May 17	Provost's Report on UAP and Provostial White Paper Progress
May 31	
June 14	Vice-President Finance and Administration Report on the Budget Context of Academic Planning

5. APPRC Agendas and the UAP

Signifying and reinforcing the Committee's commitment to the UAP, most items considered at APPRC meetings will be explicitly linked to the priority areas of the UAP, and the agenda page will reflect this connection. The Committee encourages Senators and other collegial bodies to be mindful of the UAP in their deliberations.

6. Members of APPRC Sub-Committees for 2011-2012

Technical Sub-Committee	Susan Dimock Robert Haché Alison Macpherson Michael Longford Patrick Monahan (Chair) Peter Victor
Sub-Committee on ORUs	Diethard Böhme Susan Dimock Ric Irving
Joint Sub-Committee with Academic Standards, Curriculum and Pedagogy (Cyclical	Leslie Sanders Tom Scott 25

Reviews, Quality Assurance	
Senate Executive's Sub-Committee on Equity	Jill Bell

7. Annual Sub-Committee Reports

The most recent reports of research-related Sub-Committees have been posted on line with other Senate agenda material. The documents have been submitted by the Animal Care Sub-Committee, the Advisory Committee on Biological Safety, and the Human Participants Review Committee. Individuals are not named in the reports but aggregate data are provided.

The reports cover the 2009-2010 period. APPRC has been advised that these annual reports are often delayed (some information was only received in the late spring of this year). It is imperative that the data collection required for these reports is completed in a timely fashion to ensure compliance with the frameworks that govern these activities. The Committee has advised the Vice-President Research and Innovation of its willingness to assist in accelerating the completion of reports, and has asked its Secretary to work with Vice-President Shapson's office toward this end.

8. Welcome to New Members

APPRC is pleased to welcome a number of new members: Anna Agathangelou, Associate Professor, Department of Political Science (LA&PS Member); Robert Haché, Vice-President Research and Innovation; Eilyad Honarparvar, student Senator, Science and Engineering; and David Mutimer, Director York Centre for International and Strategic Studies and Associate Professor, Department of Political Science (ORU Directors Appointee). The Committee very much hopes that a second student will be named as soon as possible.

Alison Macpherson, Chair

Appendix A / APPRC Report

Canadian Centre for German and European Studies Proposed Change of Mandate

On behalf of the Executive Committee of the Canadian Centre for German and European Studies, I am writing to inform you of our decision to revise our Centre mandate. I understand that the next step in the process is to explain the nature of the revision and, if it appears reasonable to you, ask that the revised mandate be sent to the Senate APPRC for approval.

The rationale for the change in our mandate relates to institutional and research-related shifts that, over the past several years, have taken place at the Centre and York. In most immediate terms, the Centre has become the home of York's European Union Centre of Excellence. Because many of the Centre's affiliates work on Germany and the European Union, the Centre represents a natural place for work on the EU to crystallize and develop. The establishment of this EU centre involved a number of scholars whose work involved Germany to a secondary degree. This situation conflicts with our current mandate documents, all of which have been read as requiring a German component for all Centre projects. Indeed, our affiliation agreement stipulates that the Centre work to "promote European studies with an initial focus on Germany."

While scholars pursuing this kind of research remain welcome at the Centre, as I believe our proposed mandate makes clear, such an approach remains too limited for understanding the ways that EU enlargement and EU integration have affected Germany and its EU and non-EU neighbors. Intellectually speaking, these shifts make it necessary to expand available modes of approaching Europe in order to adequately study the contours of German culture, society and politics today. Indeed, it is precisely because Germany's modes of interaction with Europe are manifested at multiple, complex and potentially unpredictable ways that our proposed change in mandate wishes to promote research on Europe broadly conceived and without an apriori insistence on which objects of study can or should be prioritized or excluded.

The change in mandate also attempts to articulate the goals of the Centre in other ways related to York's future directions. As a reflection of efforts to achieve research intensification, the new mandate places research at the top of its four main goals. These goals, it should be noted, also attempt to articulate the Centre's work in ways that regard its efforts in training graduate students and in performing outreach to scholarly and non-scholarly communities in the GTA and Canada more broadly as integrated parts of its core mission. Finally, the change in mandate promises to attract York scholars working on European issues who have not yet affiliated with the Centre.

The change in mandate has received approval from the Centre's Executive Committee after extensive discussion and a period of commentary from the Centre's collegium. There is unanimous agreement that this change would serve the interests of the Centre and its affiliates going forward.

Peter M. McIsaac
Director, Canadian Centre for German and European Studies

Current Text	Proposed Revision
<p>The Canadian Centre for German and European Studies / Le Centre canadien d'études allemandes et européennes, a cooperative project of the German Academic Exchange Service (DAAD), the Université de Montréal, and York University, has three principal goals:</p> <p>To educate the next generation of Canadian experts on Germany and Europe. Both universities offer a special Graduate Diploma in German and European Studies.</p> <p>To stimulate and conduct research on Germany and Europe, especially in areas where Canadian and European interests intersect.</p> <p>To promote educated social awareness in Canada of German and European issues. This involves outreach to the private sector, the media and schools across Canada, including sponsorship of special seminars, lecture series and meetings for students, teachers and professionals. The Centre will also establish and maintain contacts with key individuals and institutions in Canada and abroad.</p> <p>In its constitution as a university-wide Organized Research Unit (ORU) at York University, the Centre focuses on the second and third of these goals. The first is the primary responsibility of the associated Graduate Diploma offered through the Faculty of Graduate Studies. As part of their work towards the Graduate Diploma, however, graduate students participate in Centre projects and activities, and may be assigned to the Centre as Graduate Assistants.</p>	<p>The Canadian Centre for German and European Studies / Le Centre canadien d'études allemandes et européennes was founded as a cooperative project of the German Academic Exchange Service (DAAD), the Université de Montréal, and York University. The Centre traces its current strengths in interdisciplinary German studies to its well-established relationship to the DAAD and strategic decisions to hire scholars working on German history, culture, economics and politics across disparate disciplines and faculties at York University. Over time, major developments such as European integration and EU enlargement have changed Germany's relationship to Europe and thus the issues germane to the Centre's work. In light of this dynamic situation, the Centre at York pursues four principal goals:</p> <p>To stimulate research on Germany and Europe. The Centre seeks to foster and support faculty and student research on Germany and Europe, especially in areas where Canadian and European interests intersect. The Centre accordingly encourages consideration of "Europe" from a number of vantage points, including supranational, national, regional and/or comparative perspectives and approaches.</p> <p>To bring students and faculty together from around the world. Through its scholarship and grant programs as well as its involvement with the worldwide network of DAAD research centers and a growing network of European and North American universities, the Centre fosters student and faculty mobility that encourages closer academic ties, particularly between Canada and Germany and other European countries.</p> <p>To educate the next generation of experts on Germany and Europe. The Centre offers a special Graduate Diploma in German and European Studies that equips students to conduct innovative research and nurtures their professional advancement.</p> <p>To cultivate knowledge and awareness in Canada of German and European issues. This involves outreach to the private sector, the media and schools across Canada, including sponsorship of special seminars, lecture series and meetings for students, teachers and professionals. The Centre will also establish and maintain contacts with key individuals and institutions in Canada and abroad.</p> <p>In its constitution as a university-wide Organized Research Unit (ORU) at York University, the Centre offers a Graduate Diploma through the Faculty of Graduate Studies. As part of their work towards the Graduate Diploma, graduate students participate in Centre research projects and activities, and may be assigned to the Centre as Graduate Assistants. 28</p>

Memo

To: Sub-Committee on ORUs

From: David Dewitt, AVP Research, Social Sciences & Humanities



Date: March 31, 2011

Subject: Proposed Revisions to the CCGES Mandate

I am writing to express my support for the revisions recently proposed to the Canadian Centre for German and European Studies (CCGES) mandate.

The CCGES has struggled to attract new funding to support its research activities since its DAAD grant ended in 2008. The proposed revisions to its mandate should assist the Centre to increase its research capacity by attracting a wider cohort of researchers to the Centre. Although the current mandate does not restrict the research projects supported by the Centre to those which have an initial focus on Germany, the impression among many in the research community has been that the mandate is restricted on this basis. The proposed revisions to the mandate acts to clarify for the community that research projects focusing on Europe broadly conceived have a home at the Centre.

My hope is that by clarifying the Centre's mandate and placing research as the first of four principal goals, the Centre will be better positioned to reinvigorate its research profile.

cc: Professor Peter McIsaac, Director of CCGES

Sub-Committee Annual Reports for 2009-2010

The following reports have been submitted to APPRC on behalf of the Sub-Committees by the Office of Research Ethics. The Committee has an opportunity to comment. The reports are subsequently transmitted to Senate, as is required under the terms of reference of the Sub-Committees and the external bodies that mandate their activities.

Annual Report of the Human Participants Review Committee 2009-2010

MEMBERSHIP

Daphne Winland, Chair (Psychology)
Wade Cook, Vice-Chair (Schulich)
Vinod Goel (Psychology) *[On Sabbatical]*
Denise Henriques (Kinesiology)
Stanley Kochman (Mathematics & Statistics)
Dan McArthur (School of Arts & Letters)
Marcelle McShine-Quao (Community member)
Michael Moir (Archives & Special Collections)
Benjamin Richardson (Osgoode)
Razika Sanaoui (Education)
Suraj Unniappan (Biology)
Duff Waring (School of Arts & Letters) *[On Sabbatical]*
Suzie Young (Film and Theatre, Fine Arts)
A.Collins-Mrakas (ORE, Administrative Support)
Wendy Jokhoo (ORE, Administrative Support)

PROTOCOLS REVIEWED AND APPROVED

The Office of Research Ethics (ORE) received a total of 518 protocols (Faculty and Graduate students) for review by the Human Participants Review Committee (HPRC) in the academic year 2009/2010.

The sub-committee as a whole reviewed and approved 345 protocols for the academic year 2009/2010. The attached spreadsheet (Appendix A – Sheet Faculty) provides a detailed listing of all research protocols approved during the above noted academic year.

As in previous years, the majority of the protocols submitted to the committee was approved or was approved subject to minor revisions of the protocol and/or informed consent form. There were a few occasions where protocols required substantial discussion and/or revision, there was no instance of a protocol being rejected by the committee. Similarly, there were no instances of revocation of a protocol by the committee. There were a number of research ethics protocol related queries, issues and/or complaints lodged during the academic year 2009/10 which required appropriate action be taken. The majority of queries and/or complaints was not of a significant nature and was resolved expeditiously. However, one particular issue required considerable review, comment and follow-up

as well as consultation with the Privacy Office. The matter was ultimately resolved without further action or sanction being required.

The number of graduate student protocols submitted for review continues to be substantial. From June 2009 through June 2010, 1 73 protocols were submitted to the Chair (and Vice-Chair when the Chair is absent) for review. As a result of continued education and outreach activities of ORE (for example "Ethics 101") and the pre-screening process instituted by FGS in the 2009-2010 academic year, protocols submitted by graduate students over the past academic year continue to improve noticeably. Few protocols received require significant revision and/or discussion. However, it should be noted that given the volume of student research ethics protocols, the time spent on the review and management of graduate student protocols is still considerable. The workload of the Chair of the HPRC is, therefore, as in previous years, quite significant.

Faculty/Departmental Ethics Review Committees are responsible for the review and approval of all undergraduate course-related research, undergraduate independent research, graduate Major Research Papers and graduate course-related research. A summary chart (Appendix B) lists the number of protocols reviewed by Faculty/Departmental Ethics Review committees and is appended to this document.

COMMITTEE OPERATIONS and ACTIVITIES

The academic year of 2009 – 2010 was an extremely busy year for the Research Ethics portfolio as all three committees underwent a number of assessment visits and/or audits by various regulatory bodies. To prepare for the assessment visits and audits, a review and documentation of policies, procedures, forms and other relevant materials were undertaken by the Office of Research Ethics in conjunction with that of the Chair and committee members, HPRC. Both Chair and committee members alike fully participated in the review and assessment processes and contributed a significant amount of time and expertise in the review of and comment on current and future practices. They attended meetings with the assessment teams and reviewed draft documentation prepared in advance of the visits. As a result of the work of the committee in conjunction with that of the ORE, the regulatory assessment visits were successful. Final reports submitted by both NCEHR and the Tri-Council Financial Assessment teams found that the vast majority of ethics policies and procedures currently in place are quite good and are compliant. With respect to the HPRC and human ethics related policies and procedures, areas of concern and/or in need of revision were primarily minor in nature and have already been addressed by ORE.

As in previous years, the committee continues to function well with few if any operational issues. The research ethics review process continues to be a timely, effective, efficient and above all compliant process. It should be noted that there is no reduction in the workload of the Chair and Vice-Chair as they continue to review all protocols that come before the committee. It should again be noted that, unlike other senate committees, the work of the HPRC is year-round as committee members continue to review protocols throughout the summer.

EDUCATIONAL INITIATIVES

The Office of Research Ethics in conjunction with the Chair, HPRC continued to provide education and outreach activities to variety of stakeholders and audiences. In particular, our educational initiatives were targeted to address the specific ethics related needs of and issues related to graduate student researchers. As a result, similar to building on previous years, numerous "Ethics 101" presentations were made to graduate and undergraduate student audiences in a wide variety of

disciplines. In addition, ORE continued to expand its advisory services with the provision of greater assistance and guidance on a one-on-one basis to graduate (and faculty) researchers with regards to ethics policy and its applications, the completion of protocols and the design of consent documents. As noted earlier, as a consequence, protocol submissions continue to improve. Today, few if any protocol packages submitted are incomplete or substantively non-compliant which is a significant improvement from just a few years ago.

As in previous years, and in continued furtherance of the goal of facilitating a broader understanding of research ethics policy and processes within the research community, the Chair, HPRC in conjunction with the Sr. Manager & Policy Advisor, Research Ethics undertook a number of educational and outreach activities including but not limited to presentations to/at: undergraduate and graduate student research methods courses, graduate and undergraduate classes, PhD colloquia, Faculty Council meetings, Associate Deans Research, New Faculty Day, Research Accounting New Faculty Day, and many other small-group presentations and seminars. The HPRC, through the Sr. Manager and Policy Advisor, Research Ethics, continued to liaise with the various Faculties and their respective Research Officers as well as senior staff and scholars to identify and better address discipline specific ethics review issues.

CURRENT AND FUTURE ACTIVITIES

1. Another draft second edition of the Tri Council Policy Statement (*TCPS 2nd Edition*) was released in late 2009 and circulated for public comment. The Chair HPRC and Sr. Manager, ORE jointly provided comments on the latest draft document. Unfortunately, despite now year's worth of consultations, the revised TCPS has still yet to be formalized and released. Once the document is released however, (and it is expected to be released, in final format, before the end of 2010), all policies and procedures will need to be reviewed and brought into compliance where necessary. The new guidelines will also require a review of and amendments to of the Senate Policy. The review and compliance process will be a substantial undertaking.
2. ORE hosted a workshop in conjunction with NCEHR in August of 2009 to address the new research ethics guidelines for health research in aboriginal contexts (*CIHR Guidelines for Health Research Involving Aboriginal People*). The workshop served to ensure our researchers and the research community writ large were informed and educated as to the procedures related to the new process. The workshop was very well attended and very successful.
3. In 2009-2010, further pilot testing of the web-enabled, online ethics review system was undertaken as issues identified during beta testing were addressed. As noted previously, given IT services workload, the development of the system has proceeded slower than expected with a number of compatibility problems not originally envisioned. However, though initially hoped to be launched in the fall 2010, ORE will be soft launching the online system for the research community in January 2011. As we move to the online system, we will still operate with a paper based system in tandem with the online system so as to ensure that our researchers are provided with the necessary time to adjust to the new submission process.
4. In 2009, in preparation for the various scheduled assessment visits (NCEHR and Tri-Council), ORE began a review of current practices – administrative, committee secretariat, committee operations - with a goal towards formalizing practices into procedures and/or processes. This process is ongoing and it is expected that a complete set of draft SOPs will be completed in 2011. It is noted that the timeline of SOP development process will be dependent upon when or if the new TCPS is released in December 2010 as anticipated.

5. The joint HPRC and FGS review of Faculty based ethics review processes is still underway. It is expected that this consultation and review process will continue through out 2010 and 2011 as FGS institutes new ethics forms and procedures.
6. The education and outreach activities undertaken by ORE, the Chair and committee as a whole will continue to evolve and expand so as to improve communication of ethics review policy and procedures to the research community.
7. Continued consultation with both Faculties and departments will be undertaken with regards to their context-specific ethics review needs so as to facilitate appropriate and effective review processes. Continued particular focus will be placed on those disciplines which pose significant review challenges.
8. As new policies emerge (such as the pending Canadian General Standards Board REB standard for Ethics review of Clinical trials), continued analysis as to potential implications for research ethics policies and procedures will be undertaken.

**Appendix A
Summary of Projects Approved**

New Research Projects	No. of New Approvals
HPRC Faculty Members	345
HPRC Graduate Students	173
TOTAL	518

**Appendix B
Protocols Reviewed Faculty/Departmental Ethics Review Committees
by Faculty and Type of Project**

FACULTY	Undergrad Course Related	Grad Course Related	Undergrad independent/ individually directed research	Graduate Major Research papers	Theses	Dissertation	TOTAL (excluding Theses & Dissertations)
Liberal Arts & Professional Studies	27						27
Education							0
Environmental Studies	3		6				9
Fine Arts	4						4
Glendon	3						3
Graduate Studies*		42		243	95	110	490
Health			10	8			18
Libraries							0
Osgoode (Law)			3	6			9
Science and Engineering	3						3
Schulich School of Business	6	12					18
TOTAL							376

Annual Report of the ANIMAL CARE SUB-COMMITTEE 2009/2010

MEMBERSHIP

Francis Arnaldo, Biosafety Officer, *Ex-Officio*
Rolando Ceddia, Kinesiology & Health Science
Julie Clark, Biology, *Non-animal User*, [On Maternity Leave]
Imogen Coe, Biology
Kira Bucca, Psychology, *Student Representative*
Lisa Dennis, Environmental Studies, *Non-animal user*
Paul Elliot, Faculty of Health Facilities Manager, *Ex-Officio*
Ariana Gic Perry, *Community Representative*
Kari Hoffman, Psychology
Don Kemp, *Vet*
Debbie Kolosvari, Biosafety Officer, *Ex-Officio* [On Maternity Leave]
Julie Panakos, Psychology, *Vivaria Supervisor*
Lauren Sergio, Kinesiology & Health Science, **Chair**
Suraj Unniappan, Biology, **Vice-Chair**

Alison Collins-Mrakas, ORE (Administrative support)

Wendy Jokhoo, ORE (Administrative support)

PROTOCOLS REVIEWED AND APPROVED

The sub-committee reviewed and approved **28 new protocols, 29 renewals, 14 amendments and 3 course** protocols for the academic year 2009/2010 for a total of **74** protocols reviewed. The attached spreadsheet provides a detailed listing of all research protocols approved during the above noted academic year.

The majority of the protocols submitted to the committee was approved or was approved subject to minor revisions of the protocol. A small number of protocols required further inquiry and/or clarification prior to being granted approval. These instances are noted in the minutes of the Animal Care Committee (ACC). The Chair and/or the Vivaria Supervisor met with the researcher(s) in question directly to put forth the committee's queries and upon receiving a satisfactory explanation and a revised protocol the protocol was then approved. There were no instances in which the protocol was rejected or the researcher posed a significant concern to the committee. It should be noted that an issue arose with respect to a post approval monitoring concern with an ongoing research project. Following considerable consultation between the researcher and the ACC (Chair; Vice-Chair; Vet), the matter was resolved and no further action was required.

FACILITIES INSPECTIONS

The Veterinarian Dr. Kemp continued with monthly audits of the animal care facilities. Mr. Bill Holly, Ontario Ministry of Agriculture and Food, reviewed the facilities in 2009 and 2010 and made a number of recommendations for facility upgrades and/or renovations. Aside from facility upgrade recommendations, issues identified by the OMAF inspector have been largely addressed. Further, in

November 2009 the CCAC conducted its tri-ennial site assessment of the vivaria facilities. Therefore, much of 2009 was spent in preparation for the site visit as a significant amount of documentation was required in advance of the visit. Upon receipt of the report, considerable follow-up was required in response to the recommendations of the CCAC assessment panel. As two of the recommendations – the additional veterinarian services and space/facility upgrades – require substantial planning and resource allocation, follow-up and response continues to be ongoing.

**Appendix A
Number of Protocols, by Type**

Protocol Type	Number of Protocols
Renewals	29
Amendments	14
New	28
Course	3
Total	74

**Annual Report of the
ADVISORY COMMITTEE ON BIOLOGICAL SAFETY
2009/2010**

MEMBERSHIP

Katalin Hudak, Chair (Biology)
Debbie Kolosvari (Biological Safety Officer; Maternity Leave January 2009)
Frances Arnaldo (Biological Safety Officer, 2009/2010)
Robert Peat (External Member, Hepa Filter Services Ltd.)

Rolando Ceddia (Kinesiology – 6 months)

Gillian Wu (Kinesiology – 6 months)
Tara Haas (Kinesiology – 6 months)
Andrew Donini (Biology, 2009/2010)

Alison Collins-Mrakas, (ORE, Administrative support)

PROTOCOLS REVIEWED AND APPROVED

The sub-committee reviewed and approved and/or provided renewed approval for 75 protocols for the academic year 2009/2010. The attached spreadsheet [not included] provides a detailed listing of all research protocols approved and/or renewed during the above noted academic year.

All protocols, presented to the committee for review, were approved with little or no comment. No research protocol submitted to the committee for review required more than minimal revision on the part of the Principal Investigator. There were no issues of concern with respect to biological safety and research activities.

Committee Activities

In preparation for the pending Tri-council financial audit, a review and documentation of policies, procedures, forms and other relevant materials was undertaken by the Committee, in conjunction with the Biosafety Officer and the Office of Research Ethics. Based on the final report provided by the Tri-council site assessment team, the site visit was a significant success. The control measures and procedures put in place by the ACOBS were noted specifically by the team as being exceptional.

BACKGROUND REPORT TO SENATE: APPOINTMENT AND ENROLMENT PLANNING

PATRICK MONAHAN, PROVOST
October 2011

TENURE STREAM APPOINTMENTS 2011-12

Appointments Authorized and Made and 2012-13 Appointments Authorized to Date

Faculty	Authorized 2011-12		Failed or in Progress	Total 2011-12 Appointments Made to date	2012-13 T.S. APPTS <u>AUTHORIZED TO DATE</u>
	Centrally-Funded	Funded from other sources			
Education	1	0	0	1	0
FES	1	0	1	0	2
Fine Arts	2	2	2	2	3
Glendon	1	0	0	1	3
Health	5	2.5	2	5.5	12
LA&PS	13	2	1	14	17
Osgoode	1	2	0	3	3
Schulich	1	3	2	2	3
Science	5	6.5	3	8.5	6
Libraries	0	3	0	3	1
TOTAL	30	21	11	40	50

Source: Office of VPA&P

October 2011

TENURE STREAM APPOINTMENT AUTHORIZATIONS 2012-2013

Faculty	Central Funding		Funded by Faculty/ Endowment/Other	Total Authorized To Date
	2012-13 Auth.	2011-12 Carried Forward		
Education	0	0	0	0
FES	0	1	1	2
Fine Arts	2	0	1	3
Glendon	1	0	2	3
Health	6	1	5	12
LA&PS	13	1	3	17
Osgoode	1	0	2	3
Schulich	1	0	2	3
Science	5	1	0	6
Libraries	1	0	0	1
TOTAL	30	4	16	50

Source: Office of the VPA&P

October 2011

TENURE STREAM APPOINTMENTS 2011-12: EQUITY STATUS

FAC.	APPTS MADE	MALE	FEMALE (self-Id*)	CANA- DIAN	NON- CANAD.	VISIBLE MINOR.*	DIS- ABILITY*	ABORI- GINAL*
Education	1	-	1 (1)	1	-	-	-	-
FES	0	-	-	-	-	-	-	-
Fine Arts	2	1	1 (1)	1	1	-	-	-
Glendon	1	1	-	1	-	-	-	-
Health	5.5	2.5	3 (3)	5	.5	2	1	-
LA&PS	14	6	8 (6)	12	2	6	-	1
Osgoode	3	3	-	2	1	1	-	-
Science	8.5	8.5	-	7	1.5	2	-	-
Schulich	2	2	-	2	-	-	1	-
Libraries	3	2	1 (1)	3	-	1	-	-
TOTAL	40	26	14 (12)	34	6	12	2	1

*Note: Equity statistics are based on self-identification in the hiring process, so may underestimate actual totals

Source: Office of the VPA&P

October 2011

TRENDS 2000-01 TO 2011-12: TENURE STREAM APPOINTMENTS MADE: GENDER BREAKDOWN

APPT. YEAR	MALE		FEMALE		TOTAL # OF APPTS.
2000-01	39	52.0%	36	48.0%	75
2001-02	35	57.4%	26	42.6%	61
2002-03	41	54.7%	34	45.3%	75
2003-04	46	55.4%	37	44.6%	83
2004-05	66	46.8%	75	53.2%	141
2005-06	41	53.2%	36	46.8%	77
2006-07	79	56%	62	44%	141
2007-08	53	52.5%	48	47.5%	101
2008-09	39	56.5%	30	43.5%	69
2009-10	9	50%	9	50%	18
2010-11	5	35.7%	9	64.3%	14
2011-12	26	65%	14	35%	40
TOTAL	479	53.5%	416	46.5%	895

TRENDS 2002-2003 TO 2011-12: TENURE STREAM APPOINTMENTS MADE: EQUITY STATUS

APPT. YEAR	CANADIAN		NON-CAN.		VISIBLE MINORITY.*		DISABILITY*		ABORIGINAL*		TOTAL APPTS
	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	
2002-03	56	74.7%	19	25.3%	12	16%	2	2.7%	0	0%	75
2003-04	68	82%	15	18.1%	11	13.3%	0	0%	1	1.2%	83
2004-05	120	85.1%	21	14.9%	25	17.7%	2	1.4%	3	2.1%	141
2005-06	63	81.8%	14	18.2%	21	27.2%	1	1.3%	0	0%	77
2006-07	112	79.4%	29	20.6%	37	26.2%	2	1.4%	1	.7%	141
2007-08	85	84.2%	16	15.8%	23	22.8%	1	1.0%	2	2.0%	101
2008-09	63	91.3%	6	8.7%	9	13.0%	1	1.4%	1	1.4%	69
2009-10	16	88.9%	2	11.1%	2	11.1%	1	5.5%	0	0%	18
2010-11	13	92.9%	1	7.1%	4	28.6%	0	0%	0	0%	14
2011-12	34	85%	6	15%	12	30%	2	5%	1	2.5%	40
TOTALS	630	83%	129	17%	156	20.5%	12	1.6%	8	1.1%	759

* Statistics in equity categories refer to those who self-identified in hiring process, so may underestimate actual totals

OTHER FULL-TIME COMPLEMENT: 2011-12 CLAs (Renewed/Continuing and New), CONTINUING SRCs & TRUE VISITORS

FACULTY	2011-12 CLAs AUTHORIZED		Continuing SRCs TOTAL	TRUE VISITORS SLOTS 2011-12 (16 available in total)
	RENEWED/ CONTINUING	NEW		
Education	-	2	-	-
FES	1	-	-	-
Fine Arts	1	1	1	-
Glendon	4	1	3	4
Health	14	1	1	-
LA&PS	22	16	15.5	6
Osgoode	2	-	-	-
Science	2	-	4.5	1
Schulich	3	-	-	-
Libraries	2	-	-	-
TOTAL	51	21	25	11

2011-2012: NEW CONTRACTUALLY LIMITED APPOINTMENTS MADE: EQUITY STATUS

FACULTY	APPTS MADE	CANA-DIAN	NON-CANAD.	MALE	FEMALE (self-Id*)	VISIBLE MINOR.*	DISA-BILITY*	ABORI-GINAL*
Education	2	1	1	-	2 (2)	-	-	-
FES	-	-	-	-	-	-	-	-
Fine Arts	1	1	-	1	-	-	-	-
Glendon	1	1	-	1	-	1	-	-
Health	1	1	-	-	1 (1)	1	1	-
LA&PS	16	15	1	6	10 (7)	2	-	-
Osgoode	-	-	-	-	-	-	-	-
Science	-	-	-	-	-	-	-	-
Schulich	-	-	-	-	-	-	-	-
Libraries	-	-	-	-	-	-	-	-
TOTAL	21	19	2	8	13 (10)	4	1	-

TRENDS 2002-03 TO 2011-12: NEW CONTRACTUALLY LIMITED APPOINTMENTS MADE: GENDER BREAKDOWN

APPT. YEAR	MALE		FEMALE		TOTAL # OF NEW APPTS.
2002-03	9	47.4%	10	52.6%	19
2003-04	16	40%	24	60%	40
2004-05	23	53.5%	20	46.5%	43
2005-06	15	36.6%	26	63.4%	41
2006-07	12	44.4%	15	55.6%	27
2007-08	14	51.9%	13	48.1%	27
2008-09	6	40.0%	9	60.0%	15
2009-10	9	42.9%	12	57.1%	21
2010-11	18	51.4%	17	48.6%	35
2011-12	8	38.1%	13	61.9%	21
TOTAL	130	45%	159	55%	289

Source: Office of the VPA&P

October 2011

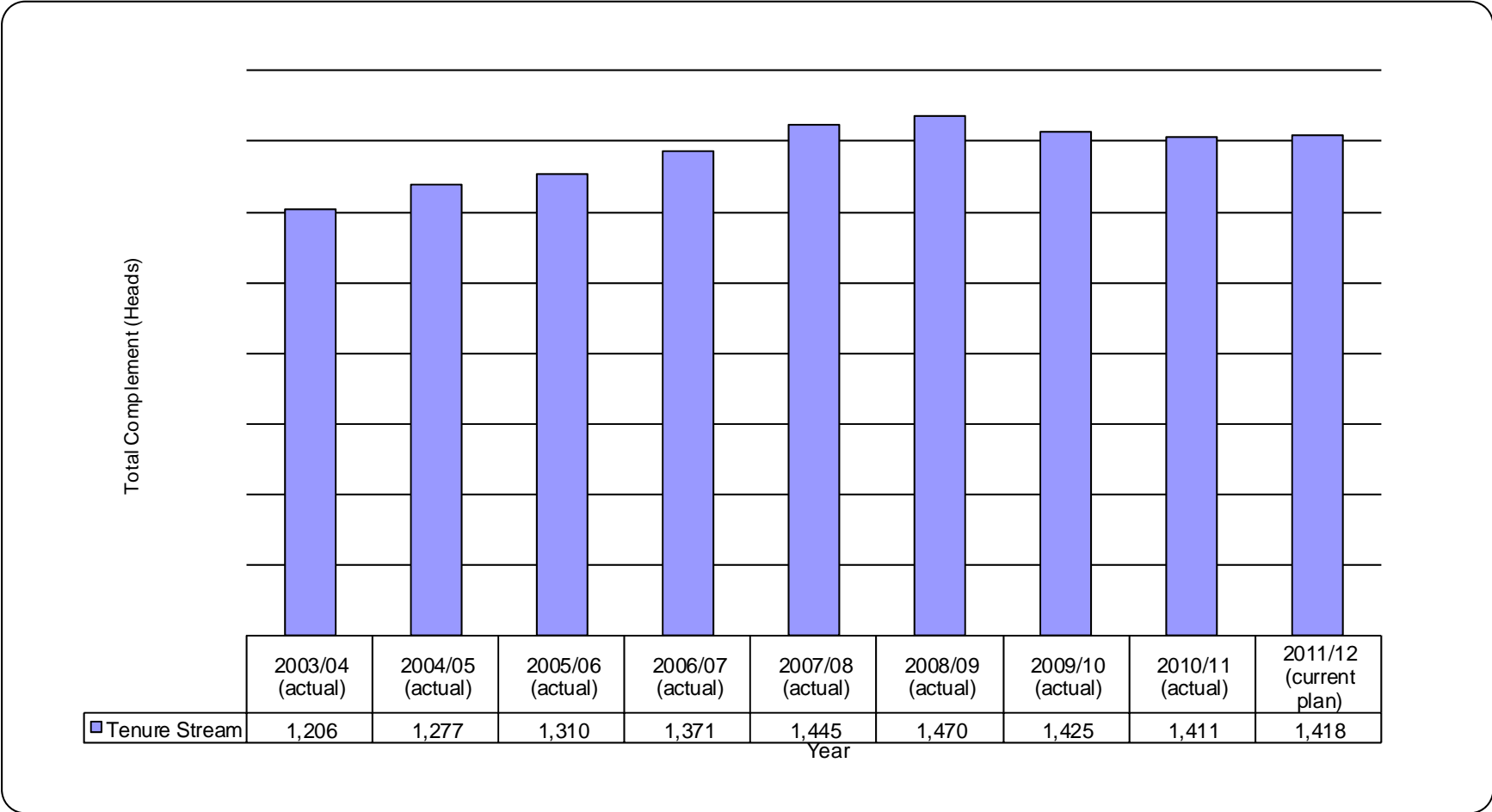
FIVE-YEAR TREND 2006-2007 TO 2011-2012: EQUITY STATUS: TENURE STREAM AND CONTRACTUAL APPOINTEES MADE

APPT. YEAR		FEMALE		VISIBLE MINORITY*		DISABILITY*		ABORIGINAL*		TOTAL APPTS
2006-07	TS	62	44%	37	26.2%	2	1.4%	1	.7%	141
	CLA	15	55.6%	5	18.5%	1	3.7%	0	0%	27
2007-08	TS	48	47.5%	23	22.8%	1	1.0%	2	2.0%	101
	CLA	13	48.1%	6	22.2%	1	3.7%	0	0%	27
2008-09	TS	30	44.8%	9	13.0%	1	1.4%	1	1.4%	69
	CLA	9	60.0%	3	20.0%	0	0%	0	0%	15
2009-10	TS	9	50.0%	2	11.1%	1	5.5%	0	0%	18
	CLA	12	57.1%	3	14.3%	0	0%	1	4.8%	21
2010-11	TS	9	64.3%	4	28.6%	0	0%	0	0%	14
	CLA	17	48.6%	7	20%	1	2.9%	1	2.9%	35
2011-12	TS	14	35%	12	30%	2	5%	1	2.5%	40
	CLA	13	61.9%	4	19%	1	4.8%	0	0%	21
TOTALS	TS	172	44.9%	87	22.7%	7	1.8%	5	1.3%	383
	CLA	79	54.1%	28	19.2%	4	2.7%	2	1.4%	146

Source: Office of the VPA&P

*based on self-identification

TENURE STREAM COMPLEMENT PLANNING: FACULTY AND LIBRARIANS (October 1st)

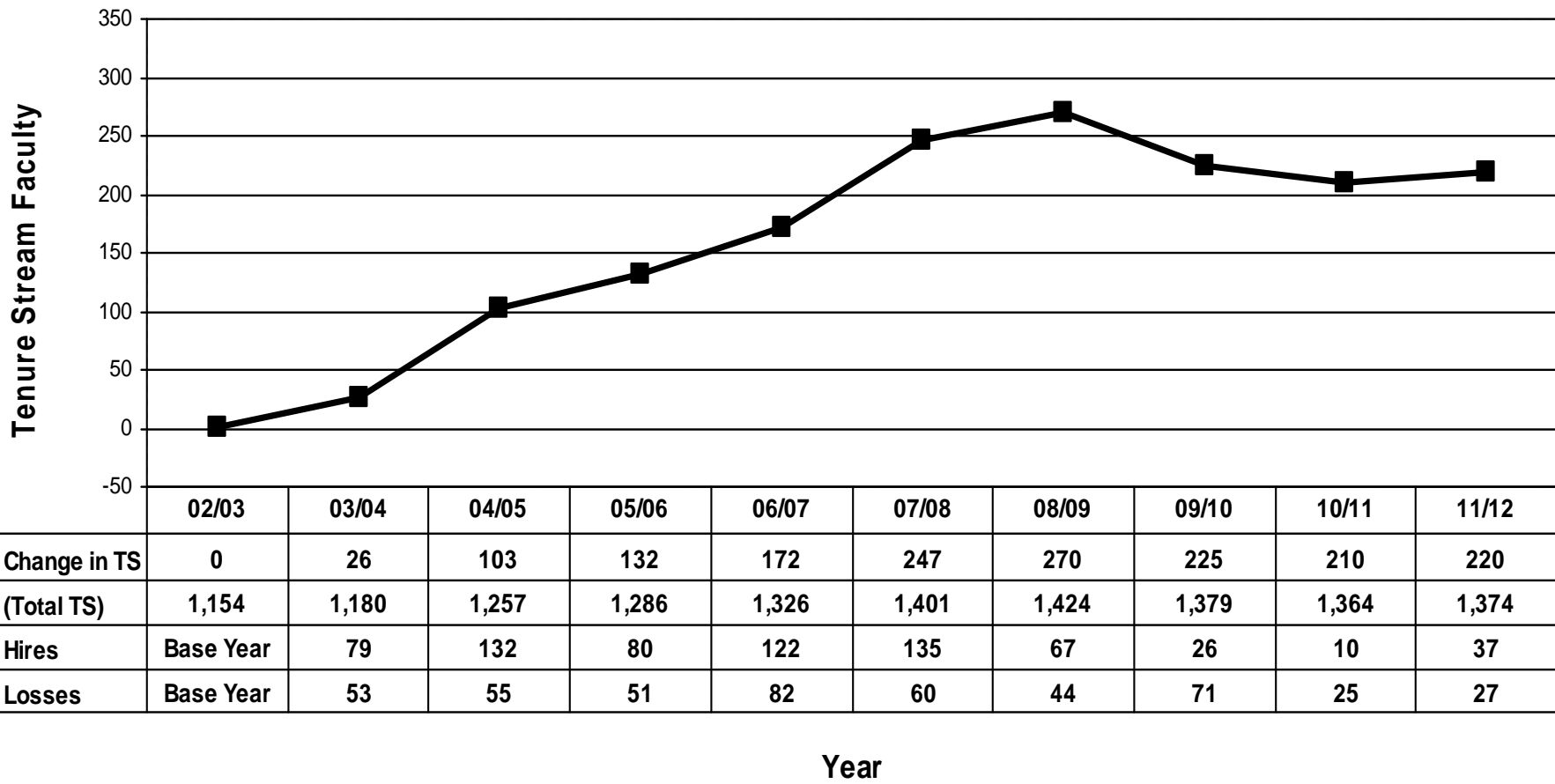


Source: Office of the VPA&P

October 2011

CUMULATIVE CHANGE IN TENURE STREAM FACULTY COMPLEMENT, 2002-03 to 2011-12

(not including Librarians)



STUDENT/FACULTY RATIOS

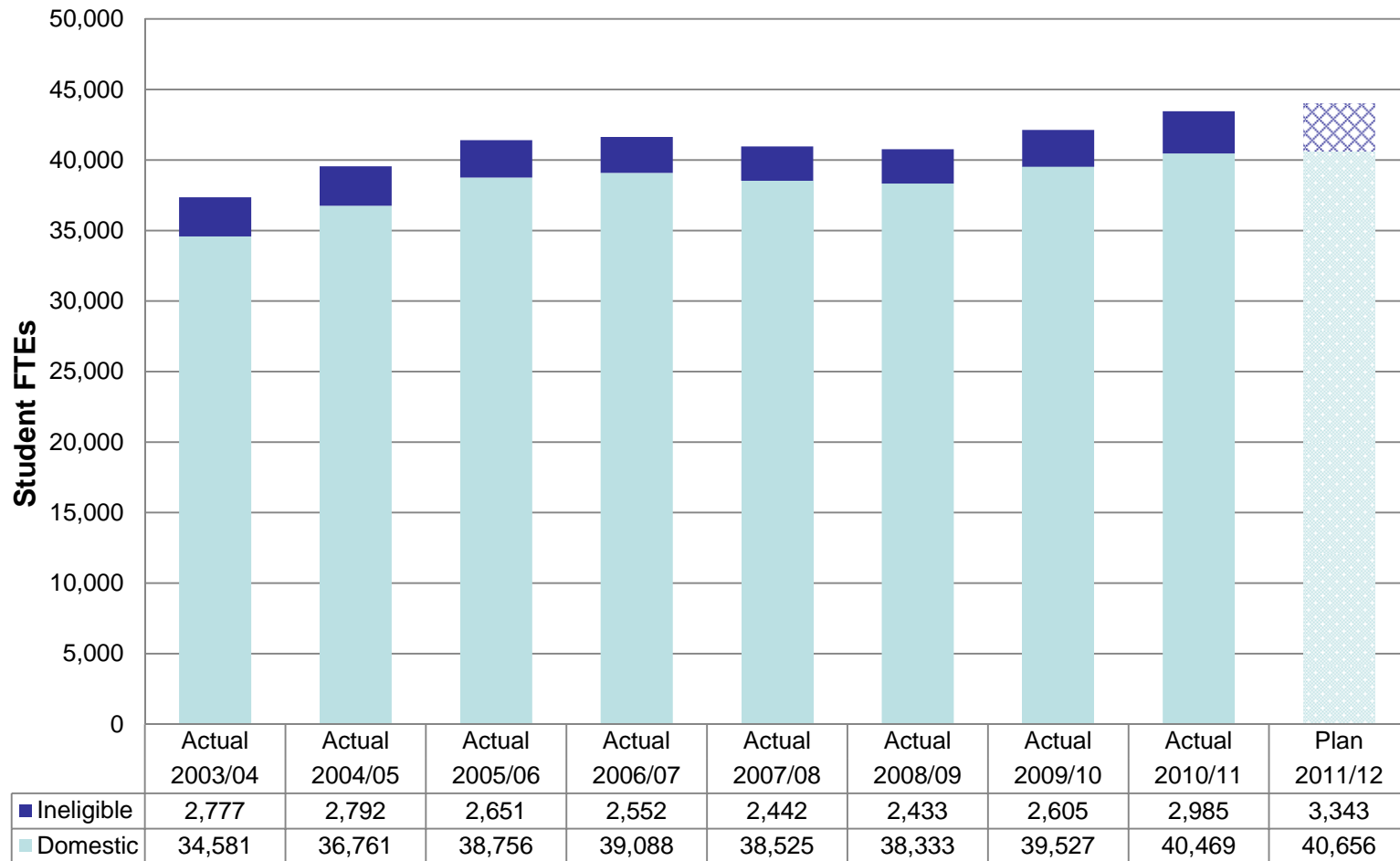
	2003-04	2004-05	2005-06	2006-07	2007-08	2008-09	2009-10	2010-11
<i>Including Both Full-time and Part-time Faculty</i>								
Undergraduate (including TAs)	17.0	17.0	16.9	16.5	15.6	15.4	15.9	16.8
Undergraduate (excluding TAs)	20.0	19.9	19.7	19.2	18.2	18.0	18.6	19.8
<i>Including Full-time Faculty Only</i>								
Undergraduate	30.6	30.5	30.6	29.7	28.2	27.9	29.8	30.9
Graduate	2.9	2.7	2.6	2.7	2.8	2.9	3.1	3.1
Total Students	33.4	33.3	33.2	32.4	31.0	30.8	32.9	34.0
<i>Including Tenured and Tenure Stream Faculty Only</i>								
Undergraduate	32.9	32.7	33.7	32.7	30.7	30.0	31.8	33.1
Graduate	3.1	2.9	2.9	3.0	3.1	3.2	3.3	3.3
Total Students	36.0	35.7	36.6	35.7	33.8	33.2	35.1	36.4

Source: Office of Institutional Research and Analysis

October 2011

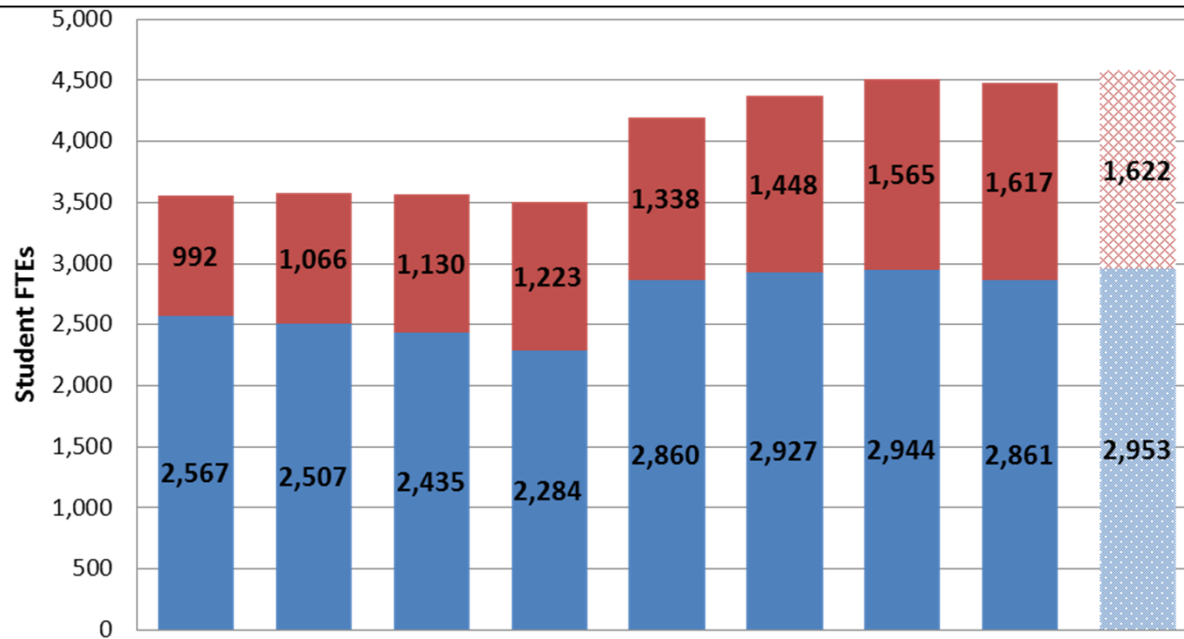
Note: 2011-2012 data will be available later in the year

UNDERGRADUATE PLANNING: TOTAL FTEs (Domestic & International)



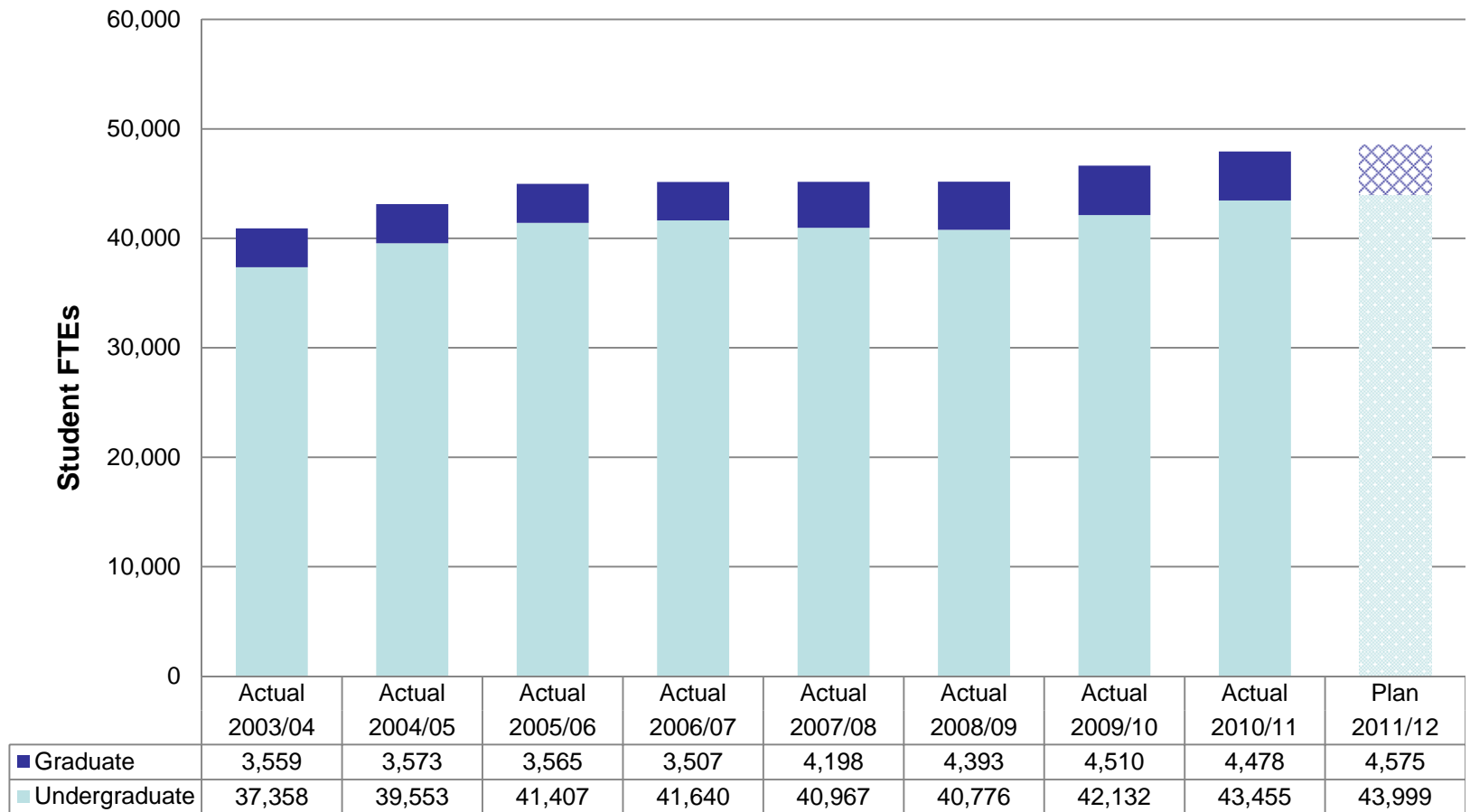
Source: OIRA

GRADUATE PLANNING: TOTAL FTES (Domestic & International)



	2003/04	2004/05	2005/06	2006/07	2007/08	2008/09	2009/10	2010/11	2011/12
	Actual	Actual	Actual	Actual	Actual	Actual	Actual	Actual	Plan
Masters-Domestic	2,237	2,232	2,213	2,084	2,660	2,719	2,630	2,431	
Masters-International	330	275	222	200	200	208	314	430	
Total Masters	2,567	2,507	2,435	2,284	2,860	2,927	2,944	2,861	2,953
Doctoral-Domestic	843	924	1,003	1,099	1,216	1,321	1,425	1,467	
Doctoral-International	149	142	127	124	122	127	140	150	
Total Doctoral	992	1,066	1,130	1,223	1,338	1,448	1,565	1,617	1,622
Total	3,559	3,573	3,565	3,507	4,198	4,375	4,509	4,478	4,575

ENROLMENT PLANNING: TOTAL FTEs (Graduate and Undergraduate)



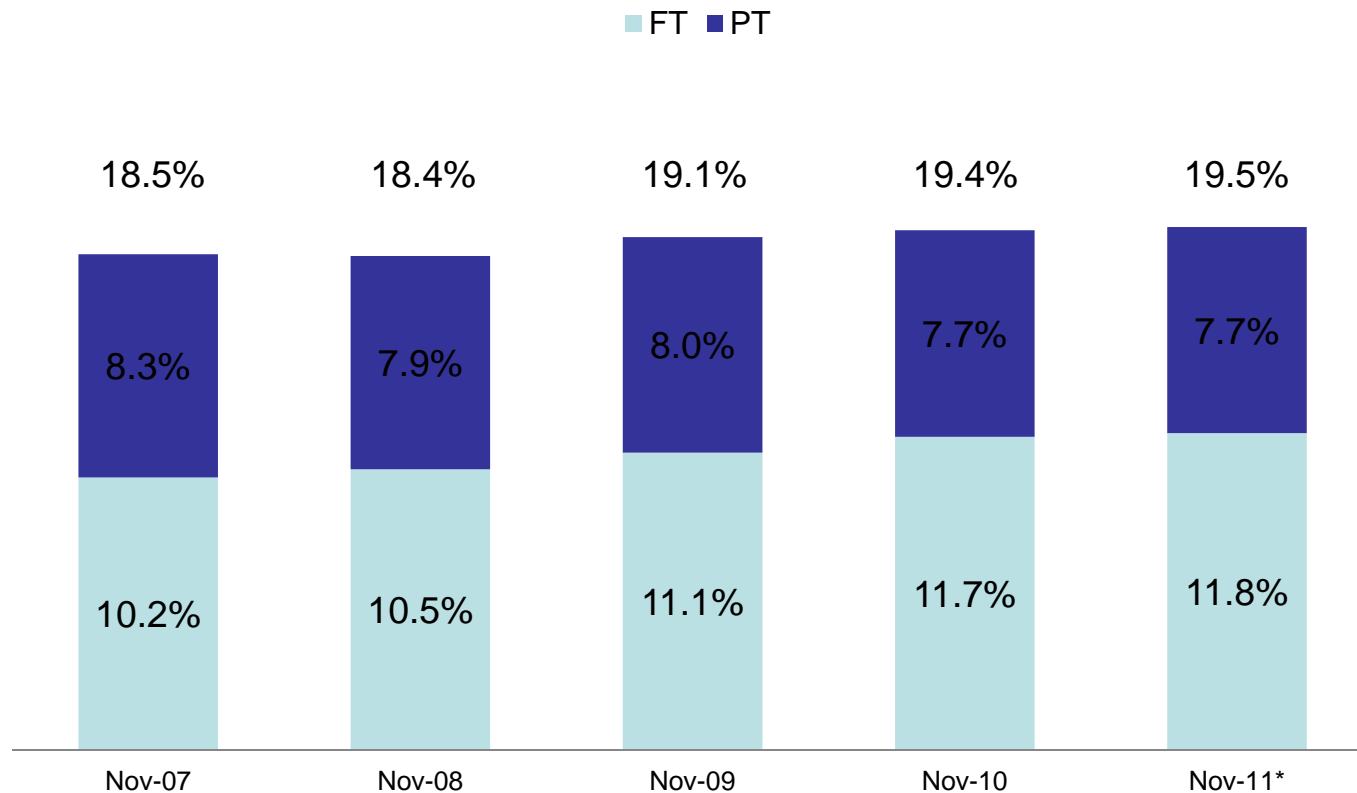
ENROLMENTS: MATURE NEW DIRECT ENTRY STUDENTS (AGE 25 AND ABOVE)

Faculty	2007	2008	2009	2010	2011
Arts	446	463	-	-	-
Atkinson	416	483	-	-	-
LA&PS	-	-	942	1,003	1,043
FES	11	29	16	38	24
Fine Arts	52	58	53	47	57
Glendon	144	119	155	148	133
Health	235	251	270	311	318
Schulich	1	0	0	1	0
FSE	84	90	111	119	111
TOTAL (% of total new intake)	1,389 (12.5%)	1,493 (12.6%)	1,547 (13.3%)	1,667 (13.7%)	1,686 (13.9%)

Source: OIRA

MATURE STUDENTS: PROPORTION OF TOTAL STUDENT POPULATION (Direct Entry Programs)

% of Direct Entry Mature Students GE 25



Source: OIRA

Note: 2011 data projected as of October 6, 2011

PROGRESS TOWARDS ACADEMIC PRIORITIES

REPORT TO SENATE – OCTOBER 27, 2011
PATRICK MONAHAN, PROVOST

LOOKING BACK: HIGHLIGHTS OF THE 2010-2011 ACADEMIC YEAR

- Senate approval of new *University Academic Plan*
- Investment of \$2.5 million to support innovation in teaching and learning and the student experience through the Academic Innovation Fund
- Searches for 30 new centrally-funded tenure stream faculty appointments
- PRASE initiative to enhance services and strengthen alignment of academic priorities and resources
- Launch of “Creating a Better Workplace”
- New and renovated buildings to support learning and research

THE EXTERNAL LANDSCAPE

**Growing
Competition for
Students**

**Risky Times:
Uncertain Tuition
Fee Framework and
the Need for
Alternate Sources of
Revenue**

**Full-time
Complement**

Bad Press

**Increasing Accountability
and Scrutiny of
Government Revenue**

Innovation Agenda

**Exploiting
Technology**

MOVING FORWARD: KEY PRIORITY AREAS FOR 2011-2012

- **Academic quality: overarching priority**
- Teaching and learning
- Research intensification
- Graduate and undergraduate student experience and success
- Interdisciplinarity and comprehensiveness
- Community engagement
- International strategy
- Integrated Resource Planning
- PRASE

OBJECTIVE: SUPPORT ACADEMIC QUALITY BY INCREASING FULL-TIME FACULTY

- Faculty complement is fundamental to achieving key priorities and objectives and supporting academic quality
- Importance of commitment to integration of teaching and research in planning
- New tenure stream appointments made through:
 - centrally-allocated funds
 - endowments
 - Faculty funds (retirements, etc.)

REPORT ON TENURE STREAM APPOINTMENTS 2011-2012

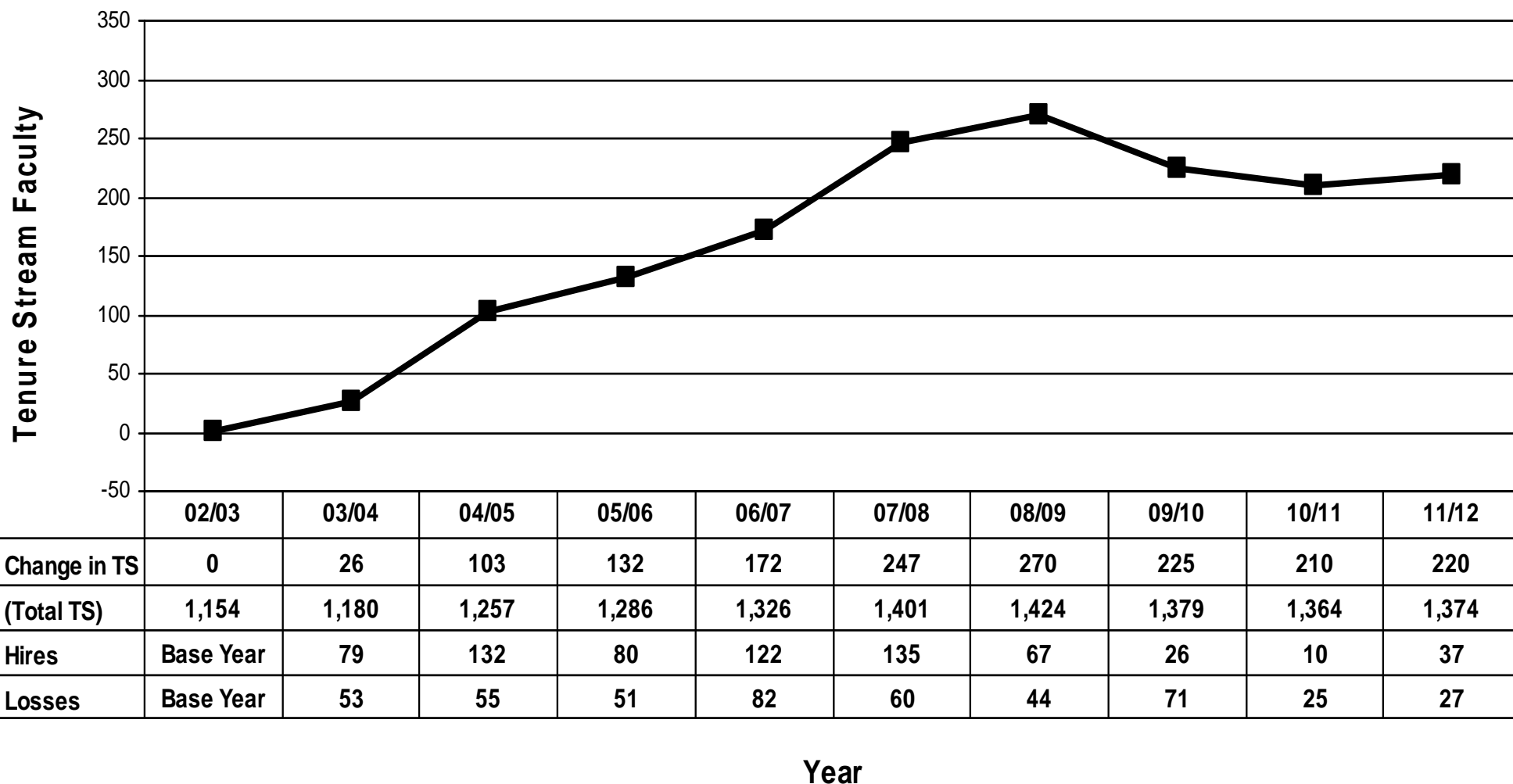
Faculty	Authorized 2011-12		Failed or in Progress	Total Appointments Made to date
	Centrally-funded	Funded from other sources		
Education	1	0	0	1
FES	1	0	1	0
Fine Arts	2	2	2	2
Glendon	1	0	0	1
Health	5	2.5	2	5.5
LA&PS	13	2	1	14
Osgoode	1	2	0	3
Schulich	1	3	2	2
FSE	5	6.5	3	8.5
Libraries	0	3	0	3
TOTAL	30	21	11	40

TENURE STREAM APPOINTMENT AUTHORIZATIONS 2012-2013

Faculty	Central Funding		Funded by Faculty/ Endowment/Other	Total Authorized
	2012-13 Auth.	2011-12 Carried Forward		
Education	0	0	0	0
FES	0	1	1	2
Fine Arts	2	0	1	3
Glendon	1	0	2	3
Health	6	1	5	12
LA&PS	13	1	3	17
Osgoode	1	0	2	3
Schulich	1	0	2	3
FSE	5	1	0	6
Libraries	1	0	0	1
TOTAL	30	4	16	50

CUMULATIVE CHANGE IN TENURE STREAM FACULTY COMPLEMENT, 2002-03 to 2011-12

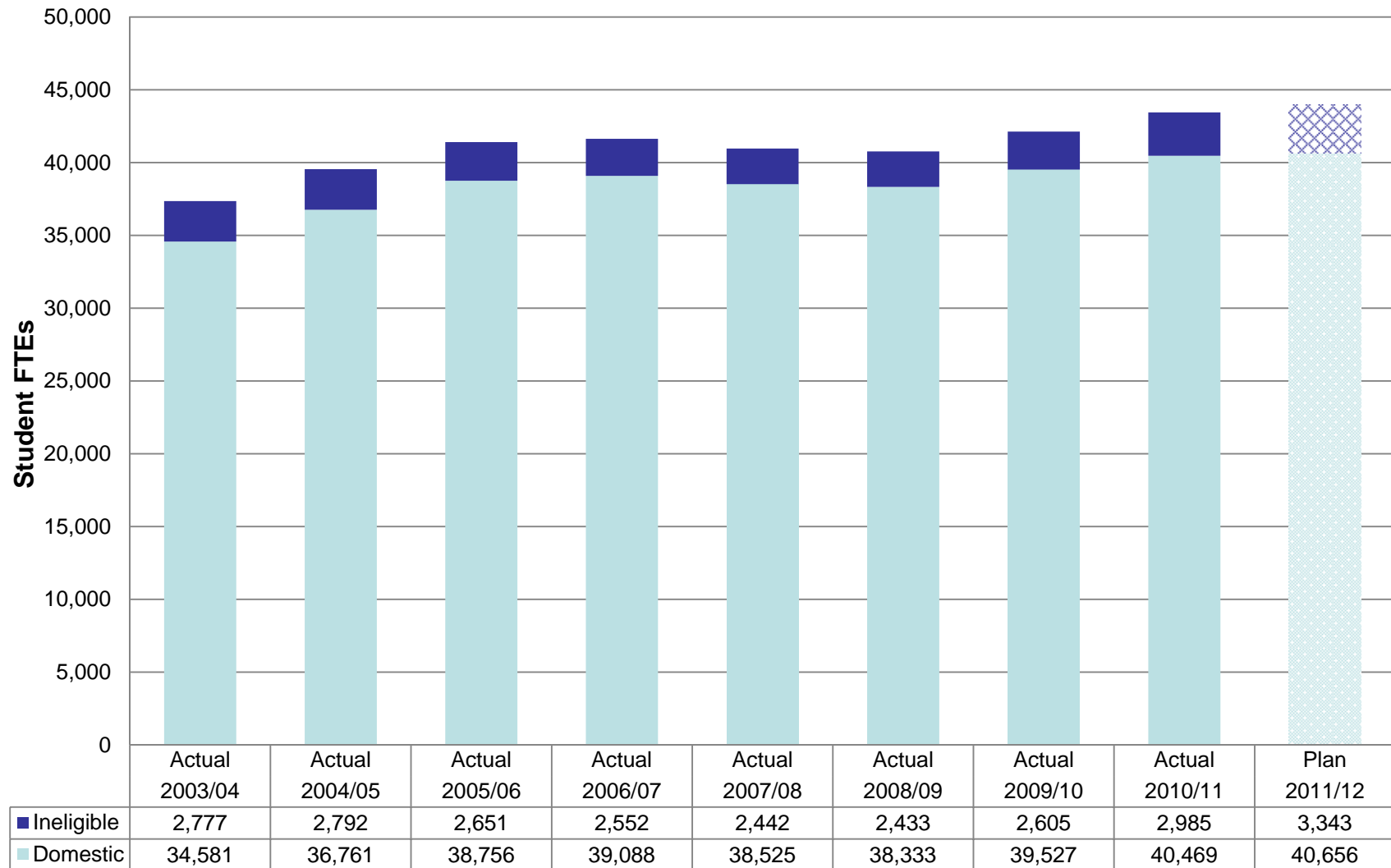
(not including Librarians)



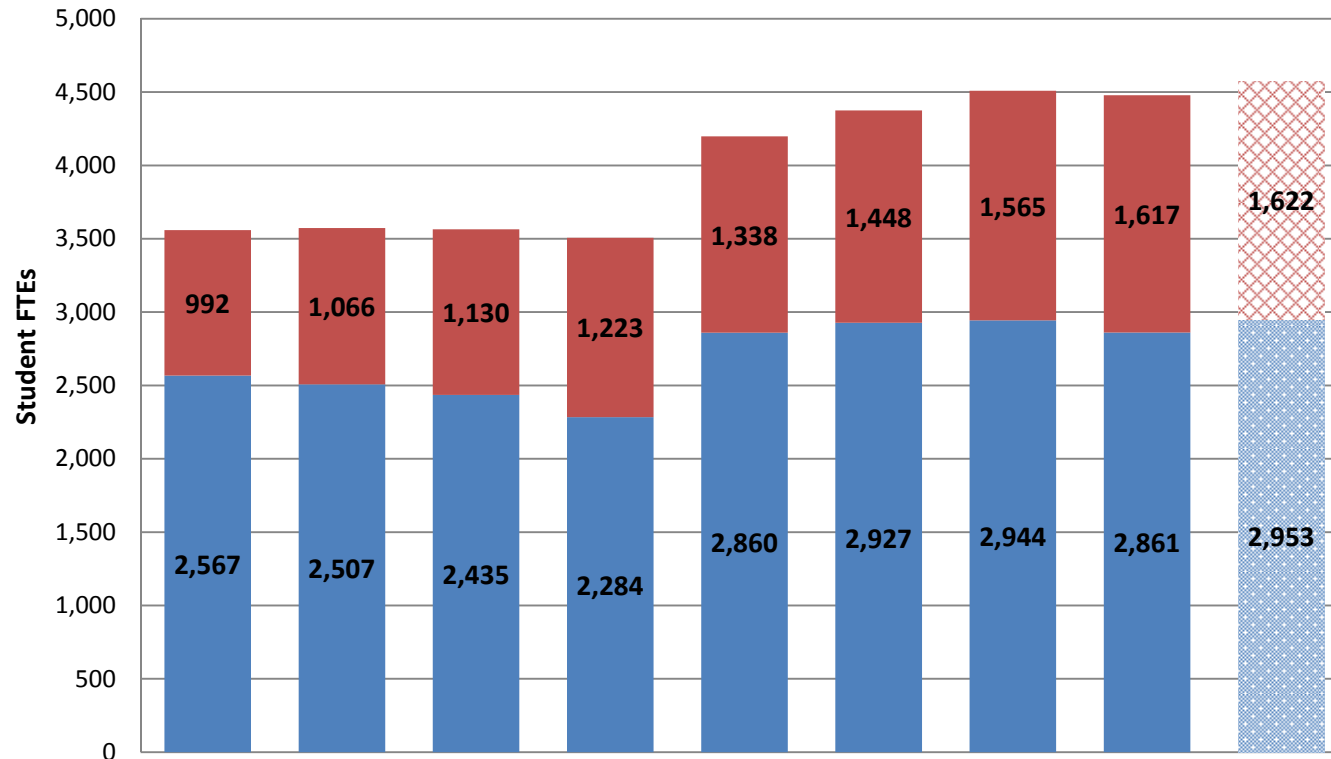
OBJECTIVE: SUPPORT ACADEMIC QUALITY THROUGH STRATEGIC ENROLMENT PLANNING

- Importance of integrated enrolment and complement planning
- Enrolment Planning Group provides a coordinated framework for enrolment planning
- Strategic approach to enrolment planning:
 - aligns enrolment planning with academic plans
 - integrates undergraduate and graduate planning
 - seeks opportunities to differentiate York
 - is empirically driven
- Importance of retention:
 - APPRC to consider retention issues in context of academic planning
 - AIF projects geared to enhancing retention

UNDERGRADUATE PLANNING: TOTAL FTEs (Domestic & International)

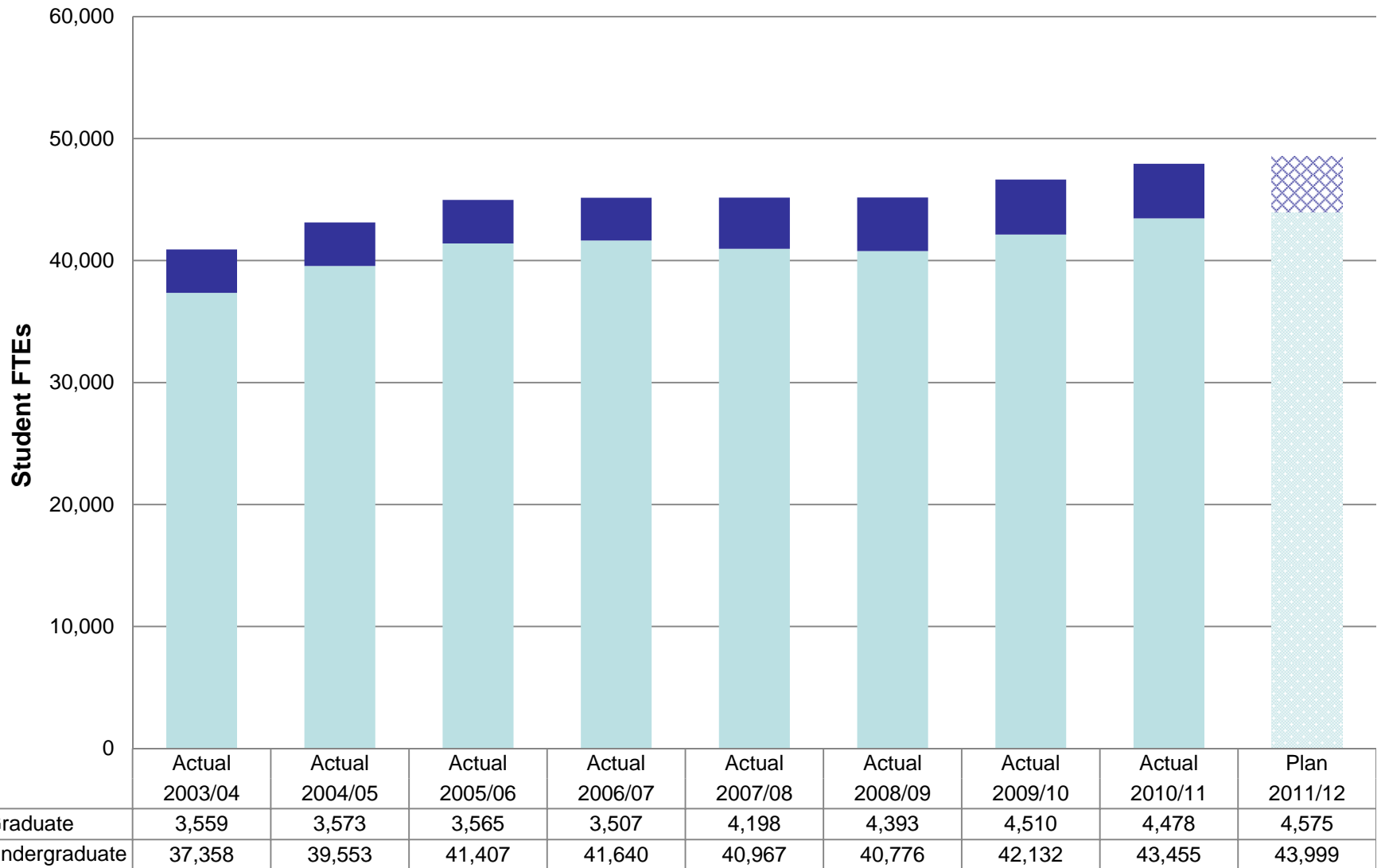


GRADUATE PLANNING: TOTAL FTES (Domestic & International)



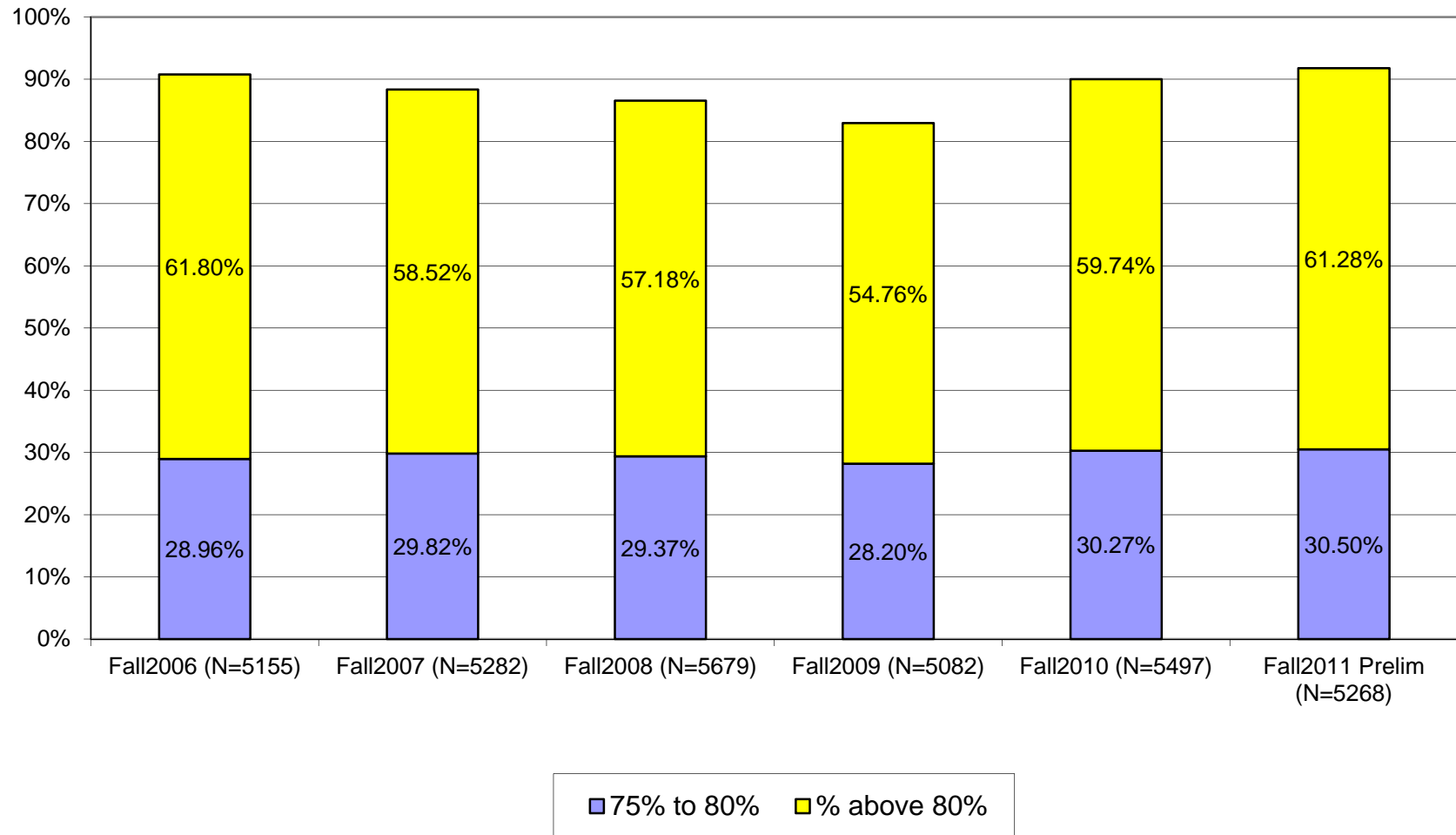
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Total	3,559	3,573	3,565	3,507	4,198	4,375	4,509	4,478	4,575

ENROLMENT PLANNING: TOTAL FTEs (Graduate and Undergraduate)



OBJECTIVE: STRENGTHEN THE QUALITY OF THE ENTERING CLASS

Percentage of Full Time (80% Load) New Year 1 Secondary School Registrants With an Entry Grade GE 75%



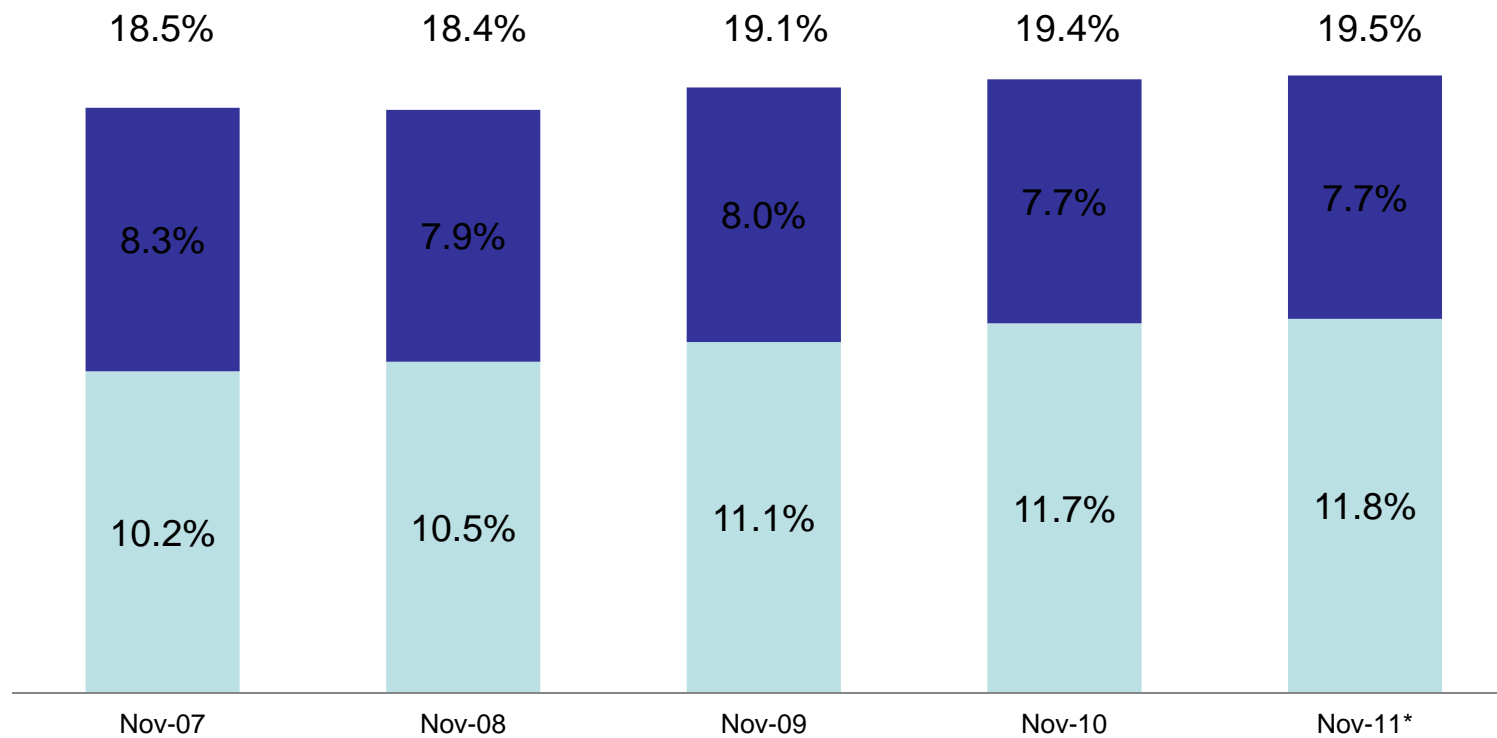
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MATURE STUDENTS: PROPORTION OF TOTAL STUDENT POPULATION (Direct Entry Programs)

% of Direct Entry Mature Students GE 25

■ FT ■ PT



OBJECTIVE: ENHANCE TEACHING & LEARNING

- Academic Innovation Fund projects developing frameworks to advance goals in key areas of teaching and learning:
 - experiential education
 - eLearning and technology enhanced learning
 - first year experience
- Emphasis on projects that are sustainable, collaborative and contribute to development of models to be shared across the University
- 2012-2013 call for new proposals: early November
- Support for teaching and learning:
 - revisioning CST

OBJECTIVE: ENRICH UNDERGRADUATE AND GRADUATE STUDENT EXPERIENCE

- Academic Innovation Fund projects aimed at enhancing:
 - students' transition to university and first year experience
 - student engagement and success, e.g., learning communities, peer mentoring, theme floors in residence
 - coordinated approach to advising
- Graduate studies:
 - recruitment and retention of outstanding students
 - academic and financial supports for student success
 - engagement of graduate students in research enterprise
 - offering of innovative high quality programs
 - importance of integrated planning in support of graduate objectives

OBJECTIVE: STRENGTHEN COMPREHENSIVENESS - ENGINEERING

- Enhancing comprehensiveness is a key priority
- UAP points to potential for expansion of health, sciences, engineering and professional programs
- Recent Government funding commitment for Engineering Building provides an opportunity to advance this objective
- Plans in development to lay the groundwork for a new Faculty of Engineering
- Consultations are being undertaken across the University

OBJECTIVE: STRENGTHEN COMPREHENSIVENESS – ENGINEERING (cont'd)

- Development of plan to create a new Faculty of Engineering, including enrolment plan, complement plan, budget
- Planning for new programs to be offered as of 2013-2014
- Projected enrolments at steady state:
 - Undergraduate: 1,600
 - Graduate: 400

OBJECTIVE: RESEARCH INTENSIFICATION

- Building a culture of research
 - Encouraging breadth of engagement and depth of excellence
 - Appreciating research in all its forms and celebrating success
 - Improving and simplifying research supports
 - Increasing transparency of process
 - Incentivizing research engagement
 - Enhancing engagement of research in recruitment
- Enhancing research success
 - Promoting individual excellence and success
 - Enhancing strategic investment in research
 - Evolution of Organized Research Units as drivers of strategic research activity
 - Engaging the community in working towards development of an update to York's Strategic Research Plan

OBJECTIVE: BUILD COMMUNITY ENGAGEMENT

- “Creating a Better Workplace” initiative focuses on four themes:
 - Culture change
 - Reward and recognition
 - Leadership and management
 - Information sharing
- Continuing and Professional Education
 - Expansion of opportunities and offerings in continuing and professional education
 - Consideration of creation of university-based school/unit to coordinate, support and advance activities

OBJECTIVE: ADVANCE INTERNATIONAL ENGAGEMENT

- Development of institutional international strategy
- Four country/region round tables developing plans and recommendations:
 - India and South Asia
 - China
 - Asia-Pacific
 - Latin America
- Development of international student recruitment and retention plan
- Planning for institutional study abroad program to expand international opportunities for students
- Establishment of external advisory council for York International

OBJECTIVE: ENHANCE BUDGET PLANNING

- Integrated Resource Planning provides a framework for:
 - Academic resource planning
 - Strategic enrolment planning
 - Complement plan
 - Measures of success
- Exploration of budget models for York:
 - Current budget model is historically-based and complicated
 - Budget model must support academic goals through alignment of resources with priorities
 - Working Group on Budget Models: analysis of options with report/recommendations in Spring 2012
 - Consultative process
 - Importance of transparency, accountability and sustainability of model

OBJECTIVE: ADVANCE PRASE (PROCESS RE-ENGINEERING AND SERVICE ENHANCEMENT)

- PRASE builds on Integrated Resource Planning to support closer alignment of academic priorities and budget/resource planning and allocations
- Important foundation for achievement of White Paper and UAP objectives
- PRASE phase two focuses on enhancement of services and efficiencies in four key areas:
 - accountability and budget planning
 - student services
 - information technology
 - human resources, finance, procurement and research accounting
- Consultative process under direction of Project Leads

CHALLENGES

- Resource issues remain the fundamental challenge
 - Expenditure increases continue to exceed revenue growth
 - University-wide budget cuts
 - Challenging external environment
- Shortfall in graduate and undergraduate enrolments
- Importance of aligning resources with academic priorities through IRP and PRASE
- Importance of collegial governance processes that enable difficult choices to be made in a timely way

Budget Context for Academic Planning

Gary Brewer, VP Finance & Administration
Senate Meeting
October 27, 2011

- 1) 2010-11 Year-End Highlights
- 2) Budget Plan Update
 - Review of Budget Plan 2011-2014
 - Enrolment Update
 - Investment Performance Update
 - Pension Plan Actuarial Results
- 3) Budget Model Working Group
- 4) 2007-2008 to 2010-2011 Budget Expenditure Analysis

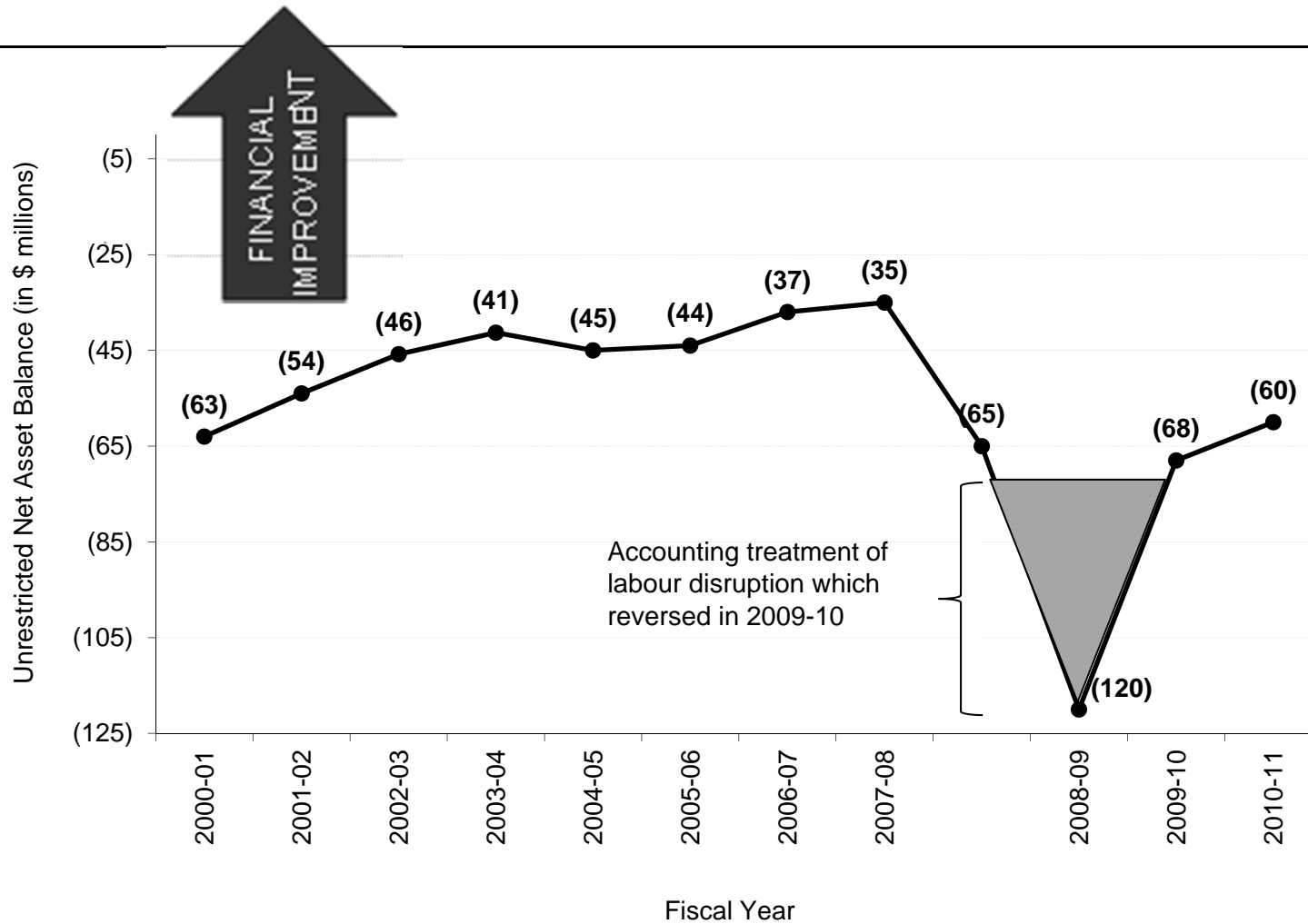
1) 2010-2011 Highlights: Balance Sheet

- *Total Assets* increased to \$1,502M. (Last year = \$1,398M)
- *Investments* increased by \$56M to \$583M. (Last year = \$527M)
 - Recovery in Market Value \$38M
 - New Contributions \$8M
 - Distributions for 2010-2011 (\$4M)
 - Additions to Laddered Bond Fund \$9M
 - Additions to Sinking Funds \$5M

1) 2010-2011 Highlights: Balance Sheet

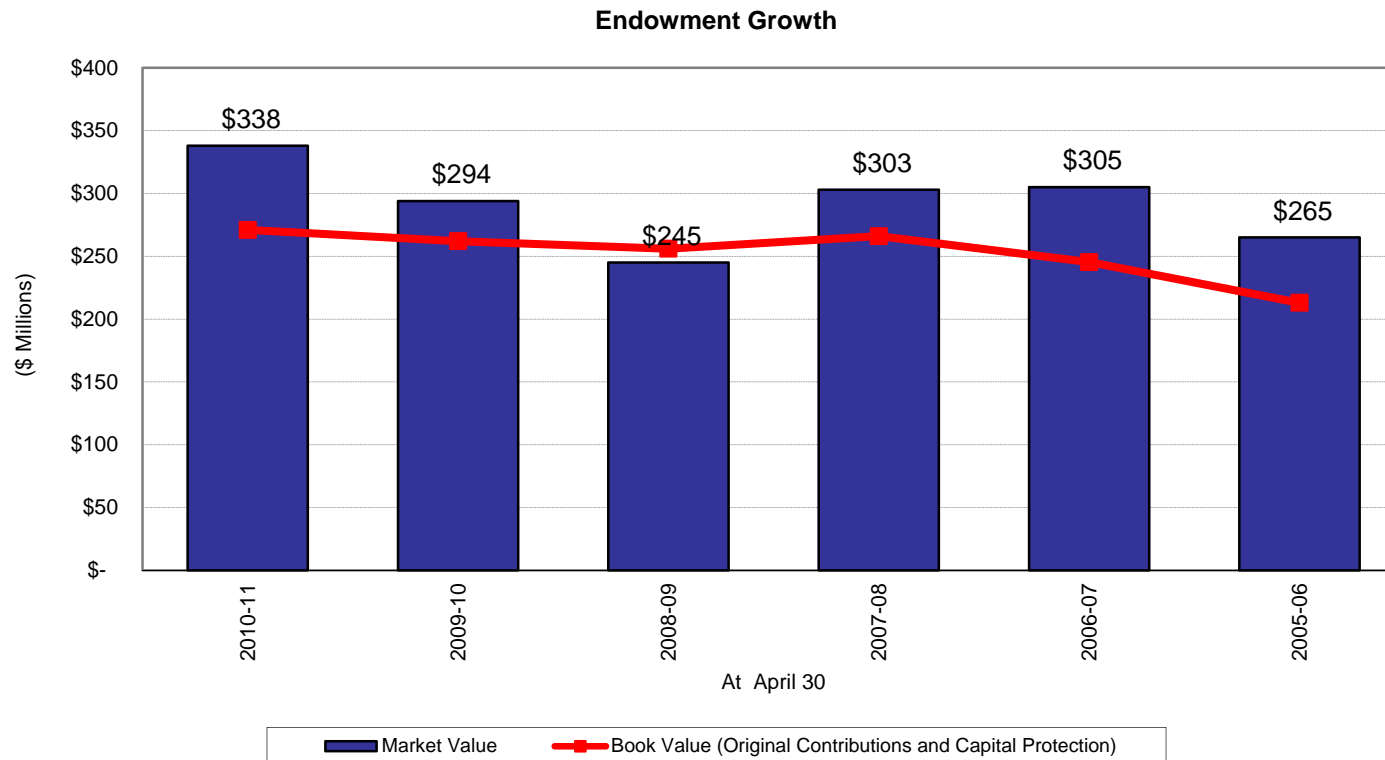
- *Long-Term Debt* decreased to \$305M. (Last year = \$309M)
 - Principal payments on outstanding mortgages and loans are \$4M for 2011-12 and \$1M thereafter until bullet bonds mature in 2042 and 2044.
 - \$300M in bullet bond debentures mature in 2042 and 2044. To date sinking fund has \$37 million set aside
- *Net Asset Deficit* decreased by \$8M to \$60M. (Last year = \$68M)
 - Ancillary Surplus Bookstore/Parking \$3.4M
 - Operating Budget Surplus \$1.5M (Budget Plan projected \$2.0M deficit)
 - Capital Fund/YUF/YUDC \$3.1M

1) 2010-2011 Highlights: *Deficit* (Net Assets Balance – As at April 30)



Source: Office of the Assistant Vice-President Finance & CFO

1) 2010-2011 Highlights: Endowment Growth (As at April 30)



2011-2014 Budget Plan: Summary

OPERATING BUDGET PLAN SUMMARY

2011-12 to 2013-14
(in \$millions)

	2011-12	2012-13	2013-14
Revenue			
Total Operating Revenue per June 2010 Plan	713.20	724.90	724.90
Planning Changes (May 2011)			
Enrolment Growth			
Domestic Growth			
Grant Funding	5.00	6.25	7.50
Tuition Funding	5.00	6.25	7.50
International Growth			
Domestic Tuition increases	In Plan	In Plan	11.00
International Tuition Increases	In Plan		
Total Revenue (Increases)	22.40	28.77	46.14
Operating Revenue - May 2011 Plan	735.60	753.67	771.04
Expenses			
Total Operating Expenses per June 2010 Plan	708.50	722.70	722.70
Academic Investments:			
VPA - Domestic Growth	6.00	7.50	10.10
VPA- International Growth	8.95	11.75	14.55
Graduate Support	1.50	3.00	3.00
SSB Fee Allocation Rebase	1.70	1.70	1.70
	18.15	23.95	29.35
Compensation/Benefit:			
Compensation	8.25	18.20	32.75
YUSA/CPM Post Retirement Benefits	0.70	0.70	0.70
Pension -PBGA		1.00	1.00
Pension Special Payments			8.00
	8.95	19.90	42.45
Inflationary Cost Pressures:			
Library Inflation	0.18	0.36	0.54
Other Cost Pressures:			
PRASE Investments	2.00	4.00	4.00
PRASE Savings			(1.00)
Misc Costs (BW/Research/Disability Mgt/AODA/Pension Redesign)	1.50	1.50	1.00
	3.50	5.50	4.00
Strategic Investments:			
Subway Costs	0.30	0.30	0.30
Metrac - Recommendations	1.00	1.00	1.00
	1.30	1.30	1.30
Capital Funding:			
OSG/Life Science	-	2.00	2.00
Total Expenditures	32.08	53.01	79.64
Budget Measures:			
Targeted Savings	(6.00)	(4.50)	(2.00)
Budget Cuts:			
2012-13 3.25%		(13.65)	(13.65)
2013-14 3.25%			(13.65)
Total Budgets Cuts	(6.00)	(18.15)	(29.30)
Total Expenses Increase	26.08	34.86	50.34
Operating Expenses - May 2011 Plan	734.58	757.56	773.04
Annual Surplus(Deficit)	1.02	(3.88)	(2.00)
Carryforward Balances from Prior Year-End	(7.20)	(6.18)	(10.07)
	(6.18)	(10.07)	(12.07)

Additional U/G enrolment growth of 1750 FTEs
Additional U/G Visa enrolment growth of 1550 FTEs

Academic Investments

Assumption for modest Compensation

Additional contributions to Pension deficiency

Invest in PRASE initiatives with projected future savings

Investments in METRAC Safety recommendations and Subway costs

Additional contributions for capital projects

Targeted Savings

Budget Cuts of 2.5 - 3.5%. Actual future year cuts dependant upon compensation settlements.

Projected deficit at the end of the period.

2) Budget Plan Update: Enrolment Update

Undergraduate

- Eligible FFTEs were anticipated to maintain the prior year's actuals (900 FFTE's above prior year) with a target to increase by an additional 250 FFTE's. Current projections indicate that York's enrolment will fall below the prior year's actual level.
- International FFTEs were anticipated to maintain the prior year's actuals (800 FFTEs above prior year) with a target to increase marginally over the prior year. Current projections indicate that we will be close to the target level.

2) Budget Plan Update: Enrolment Update

Graduate

Masters Level:

- Projected to be close to the prior year's actual levels. This is significantly below our MTCU targets.

Doctoral Level:

- Projected to be close to the prior year's actual levels. This is essentially on target.

2) Budget Plan: Investment Performance Update (to September 30/2011)

	2010 Calendar	2011 Calendar YTD (9 months)	2011 Fiscal YTD (5 months)
Endowment Fund	12.77%	-5.10%	-8.97%
Pension Fund	10.32%	-4.30%	n/a

Asset Amounts		
Endowment Fund	\$335.9	(Apr. 30/11)
	\$292.9	(Apr. 30/10)
Pension Fund	\$1.336 B	(Dec. 31/10)
	\$1.222 B	(Dec. 31/09)

2) Key Budget Risks

- Variability of investment returns and the potential impact on pension costs and future endowment distributions
- Uncertain salary and benefit costs
 - Pending Labour Negotiations
- Implications of potential changes in government grant funding allocations
- Uncertainty associated with the tuition fee framework beyond 2012
- Achievement of target enrolment levels
- Achievement of planned budget cuts across the institution

3) Budget Model Working Group

- The 2007 Budget Process Report recommended implementation of a fully Integrated Resource Planning framework (commenced in September 2007).
- The University's budget system and model are critical components in evolving the current IRP framework to achieve closer alignment between academic priorities and resource allocations.
- June 2010 – President charged VPA&P and VPFA to conduct a budget resources review to examine institutional revenue and expenditure processes and practices.
- Fall 2010 – Budget Resources Review expanded into PRASE and the budget focus was incorporated into the finance stream.

3) Budget Model Working Group

- March 2011 – PRASE report identified the development of a broader accountability framework as critical for the University’s success. Budget is key to this recommendation as it is the primary tool in the management of the University and in enabling it to fulfill its mission and achieve academic goals.
- Summer 2011 – Accountability and Planning identified as one of the four streams in PRASE phase 2 – will focus on exploring potential new budget models for York.
- A Working Group on Budget Models has been created and Terms of Reference established. Work will proceed in four stages, with an initial report currently anticipated by spring 2012

4) Budget Expenditure Analysis: Methodology

- Provides a consistent comparison of expenditures across the University over the period 2007-2008 to 2010-2011
- Actual expenditures restated in each year to reflect the organizational structure in existence in 2010-2011.
- Actual expenditures adjusted for the change in the budget carryforwards.

4) Budget Expenditure Analysis: *Expenditure Comparison Table*

	2007-08 Actuals	% of Univ*	2008-09 Actuals	% of Univ*	2009-10 Actuals	% of Univ*	2010-11 Actuals	% of Univ*
VP Academic	\$ 439.2	62.7%	\$ 458.9	63.6%	\$ 469.5	63.6%	\$ 481.4	63.5%
VP Research & Innovation	\$ 12.0	1.7%	\$ 10.9	1.5%	\$ 14.8	2.0%	\$ 17.5	2.3%
Academic Subtotal	\$ 451.2	64.4%	\$ 469.8	65.1%	\$ 484.3	65.6%	\$ 498.9	65.8%
Non Degree Programs	\$ 30.9		\$ 35.7		\$ 32.6		\$ 41.1	
Academic Total	\$ 482.1		\$ 505.5		\$ 516.9		\$ 540.0	
Operating Costs	\$ 40.7	5.8%	\$ 42.1	5.8%	\$ 44.7	6.1%	\$ 46.9	6.2%
Scholarships & Bursaries	\$ 25.5	3.6%	\$ 25.6	3.5%	\$ 27.1	3.7%	\$ 25.4	3.3%
VP Students	\$ 66.2	9.4%	\$ 67.7	9.3%	\$ 71.8	9.8%	\$ 72.3	9.5%
VP Finance & Administration	\$ 118.5	16.9%	\$ 119.9	16.6%	\$ 117.2	15.9%	\$ 121.0	16.0%
President	\$ 10.1	1.4%	\$ 9.5	1.3%	\$ 11.3	1.5%	\$ 10.1	1.3%
VP University Relations	\$ 7.7	1.1%	\$ 11.7	1.6%	\$ 6.8	0.9%	\$ 7.0	0.9%
Advancement	\$ 3.4	0.5%	\$ 3.7	0.5%	\$ 4.1	0.6%	\$ 3.9	0.5%
General Institutional	\$ 31.4	4.4%	\$ 26.8	3.6%	\$ 28.9	4.0%	\$ 31.9	4.3%
Debt Servicing - New Buildings	\$ 12.1	1.7%	\$ 12.6	1.7%	\$ 13.5	1.8%	\$ 13.5	1.8%
Total University Net of Non Degree Programs	\$ 700.6		\$ 721.7		\$ 737.9		\$ 758.6	
Total University	\$ 731.5		\$ 757.4		\$ 770.5		\$ 799.7	
Net Carryover Adjustment	\$ (14.8)		\$ 13.2		\$ (9.5)		\$ (20.1)	
Total University Net of Carryovers	\$ 746.3		\$ 744.2		\$ 780.0		\$ 819.8	

*To Determine % of University Expenditures Non Degree Program Expenditures were excluded from the denominator because they are full cost recovery programs and do not receive central funding.